



In Vitro Diagnostic Medical Device

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904 Caribbean Drive Sunnyvale, CA 94089-1189 USA

## Xpert<sup>®</sup> MTB/RIF Assay

For In Vitro Diagnostic Use Only.

**Rx Only** 

1.

**Proprietary Name** Xpert<sup>®</sup> MTB/RIF

#### 2. Common or Usual Name

Xpert MTB/RIF Assay

#### 3. Intended Use

Hed Cop The Xpert<sup>®</sup> MTB/RIF Assay, performed on the GeneXpert<sup>®</sup> Instrument Systems, is a qualitative, nested real-time polymerase chain reaction (PCR) in vitro diagnostic test for the detection of Mycobacterium tuberculosis complex DNA in raw sputum or concentrated sputum sediment prepared from induced or expectorated sputum. In specimens where Mycobacterium tuberculosis complex (MTB-complex) is detected, the Xpert MTB/RIF Assay also detects the ritempin-resistance associated mutations of the rpoB gene.

The Xpert MTB/RIF Assay is intended for use with specimens from patients for whom there is clinical suspicion of tuberculosis (TB) and who have received no antituberculosis therapy, or less than three days of therapy. This test is intended as an aid in the diagnosis of pulmonary tuberculosis when used in conjunction with clinical and other laboratory findings.

An Xpert MTB/RIF Assay result of "MTB NOT DETECTED" from either one or two sputum specimens is highly predictive of the absence of *M. tuberculosis* complex bacilli on serial fluorescent acid-fast sputum smears from patients with suspected active pulmonary tuberculosis and can be used as an aid in the decision of whether continued airborne infection isolation (AII) is warranted in patients with suspected pulmonary tuberculosis. The determination of whether testing of either one or two sputum specimens is appropriate for decisions regarding removal from AII should be based on specific clinical circumstances and institutional guidelines. Clinical decisions regarding the need for continued AII should always occur in conjunction with other clinical and laboratory evaluations and Xpert MTB(RIP Assay results should not be the sole basis for infection control practices.

The Xpert MTB/RIF Assay must always be used in conjunction with mycobacterial culture to address the risk of false negative results and to recover organisms when MTB complex is present for further characterization and drug susceptibility testing. However, decisions regarding the removal of patients from AII need not wait for culture results. Sputum specimens for TB culture, AFB smear microscopy, and Xpert MTB/RIF Assay testing should follow CDC recommendations with regard to collection methods and time frame between specimen collection.

The Xpert MTB/RIF Assay does not provide confirmation of rifampin susceptibility since mechanisms of rifampin resistance other than those detected by this device may exist that may be associated with a lack of clinical response to treatment.

Specimens that have both MTB-complex DNA and rifampin-resistance associated mutations of the rpoB gene detected by the Xpert MTB/RIF Assay must have results confirmed by a reference laboratory. If the presence of rifampin-resistance associated mutations of the vpoB gene is confirmed, specimens should also be tested for the presence of genetic mutations associated with resistance to other drugs.

The Xper MTB/RIF Assay should only be performed in laboratories that follow safety practices in accordance with the CDCNIH Biosafety in Microbiological and Biomedical Laboratories publication and applicable state or local regulations.

#### 4. Summary and Explanation

Globally, about 2 billion people are infected with MTB.<sup>1</sup> In 2010, 8.8 million people developed active disease and 1.4 million people lost their lives to the illness.<sup>2</sup> There were 9,951 new cases of tuberculosis reported in the United States in 2012 (a rate of 3.2 cases per 100,000). In 2011, 536 U.S. deaths were attributed to tuberculosis infections.<sup>3,4</sup>

Standard treatment regimens for tuberculosis involve prolonged administration of multiple drugs and are usually highly effective. However, MTB-complex strains that are resistant to one or more of first line drugs require individualized treatment. Rifampin resistance is often an indication of multidrug resistance to tuberculosis (MDR TB), which is defined as resistance to at least rifampin (RIF) and isoniazid (INH). In the United States overall RIF resistance is approximately 1.8%, with approximately 90% of these strains resistant to at least RIF and INH.<sup>5</sup>

Active pulmonary TB is a highly infectious airborne disease. All patients in healthcare facilities with suspected TB should be maintained in airborne infection isolation (AII) according to recommended infection control guidelines.<sup>6</sup> The testing of either one or two sputum specimens by the Xpert MTB/RIF Assay may serve as an alternative to serial acid-fast stained sputum smears as an aid in the decision of whether continued infection control precautions are warranted in patients with suspected pulmonary tuberculosis.

Sputum specimens that are AFB smear-negative but subsequently TB culture positive have lower MTB-complex organism loads than specimens that are AFB smear-positive. Because of the greater sensitivity of the Xpert MTB/RIF Assay for the detection of MTB-complex than that of acid-fast microscopy, MTB-complex may be detected by the Xpert MTB/RIF Assay in AFB smear-negative samples.

Patients with HIV infection and pulmonary TB are known to have lower organism loads of MTB-complex in their sputum specimens relative to HIV-uninfected patients, despite more rapid disease progression if untreated. As a consequence, sputum specimens from HIV-infected patients with pulmonary TB tend to be AFB smear-negative more frequently than HIV-uninfected patients. Overall rates of detection of MTB-complex with the Xpert MTB/RIF Assay may be lower in settings with a high percentage of HIV-infected patients because these patients are more likely to produce AFB smear-negative specimens with low organism loads.

#### 5. Principle of the Procedure

The Xpert MTB/RIF Assay is an automated *in vitro* diagnostic test using nested real-time PCR for the qualitative detection of MTB-complex and RIF resistance. The primers in this test amplify a portion of the *rpoB* gene containing the 81 base pair core region. The probes are designed to differentiate between the conserved wild-type sequence and mutations in the core region that are associated with RIF resistance. This assay can be performed on Cepheid GeneXpert<sup>®</sup> Instrument Systems.

GeneXpert Instrument Systems automate and integrate sample purification, nucleic acid amplification, and detection of the target sequence using real- time reverse transcriptase PCR (RT-PCR) and real-time PCR assays. The systems consist of an instrument, personal computer, and preloaded software for running tests and viewing the results. The systems require the use of single-use disposable GeneXpert cartridges that hold the RT-PCR and PCR reagents and host the RT-PCR and PCR processes. Because the cartridges are self-contained, cross-contamination between samples is minimized. For a full description of the systems, refer to the appropriate *GeneXpert Dx System Operator Manual* or *GeneXpert Infinity System Operator Manual*.

The Xpert MTB/RIF Assay includes reagents for the detection of MTB-complex and RIF resistance from raw sputum samples and in concentrated sputum sediments. A Sample Processing Control (SPC) and a Probe Check Control (PCC) are also included in the cartridge. The SPC is present to control for adequate processing of the target bacteria and to monitor the presence of inhibitors in the PCR reaction. The Probe Check Control (PCC) verifies reagent rehydration, PCR tube filling in the cartridge, probe integrity, and dye stability.

The Xpert MTB/RIF Assay simultaneously detects MTB-complex and RIF resistance by amplifying a MTB-complex specific sequence of the *rpoB* gene, which is probed with five molecular beacons (Probes A - E) for mutations within the rifampin-resistance determining region (RRDR). Each molecular beacon is labeled with a different fluorophore. The valid maximum cycle threshold (Ct) of 39.0 for Probes A, B and C and 36.0 for Probes D and E are set for MTB/RIF data analysis.



- **MTB DETECTED** is reported when at least two probes result in Ct values within the valid range and a delta Ct min (the smallest Ct difference between any pair of probes) of less than 2.0.
- **Rif Resistance NOT DETECTED** is reported if the delta Ct max (the Ct difference between the earliest and latest probe) is  $\leq 4.0$ .
- **Rif Resistance DETECTED** is reported if the delta Ct max is >4.0.
  - Rif Resistance INDETERMINATE is reported when the following two conditions are met:
    - 1. the Ct value of any probe exceeds the valid maximum Ct (or is zero, i.e. no threshold crossing); and
    - 2. the earliest *rpoB* Ct value is greater than:
    - [(Valid maximum Ct of probe in condition 1) (delta Ct max cut-off of 4.0)].
    - **MTB NOT DETECTED** is reported when there is only one or no positive probe.

All assay settings are included as automatic calculations in the Xpert MTB/RIF protocol and cannot be modified by the user.

#### 6. Reagents and Instruments

#### 6.1 Materials Provided

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The Xpert MTB/RIF Assay kit contains sufficient reagents to process 10 specimens or quality control samples. The kit contains the following:

2 of each per cartridge

2 of each per cartridge

1 per cartridge

4 mL per cartridge

4 mL per cartridge

8 mL per bottle

#### Xpert MTB/RIF Assay Cartridges with Integrated Reaction Tubes

- Bead 1 (freeze-dried)
  - Polymerase
  - dNTPs (deoxynucleoside triphosphates)
  - Probe
  - BSA (Bovine serum albumin)
- Bead 2 (freeze-dried)
  - Primers
  - Probes
  - BSA (Bovine serum albumin)
- Bead 3 (freeze-dried)
  - Sample Processing Control (SPC) ~6,000 non-infectious
     *B. globigii* spores
- Reagent 1
  - Tris Buffer
  - Surfactants
  - EDTA (ethylenediaminetetraacetic acid)
- Reagent 2
  - Tris Buffer
    - Surfactants
  - EDTA (ethylenediaminetetraacetic acid)

#### Sample Reagent

- Sodium Hydroxide
- Isopropanol

**Disposable Transfer Pipettes** 

#### CD

1 per kit

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- Assay Definition File (ADF) ADF for use with both the GeneXpert Dx and Infinity Systems
- · Instructions to import ADF into GX software
- Package Insert

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### 7. Warnings and Precautions

• Treat all biological specimens, including used cartridges, as if capable of transmitting infectious agents. Because it is often impossible to know which specimens might be infectious, all biological specimens should be treated with standard precautions. Guidelines for specimen handling are available from the U.S. Centers for Disease Control and Prevention<sup>7</sup> and the Clinical and Laboratory Standards Institute (formerly National Committee for Clinical Laboratory Standards).<sup>8</sup>

- Wear protective disposable gloves, laboratory coats, and eye protection when handling specimens and reagents. Wash hands thoroughly after handling specimens and test reagents.
- Follow your institution's safety procedures for working with chemicals and handling biological samples.
- Preparation of digested, decontaminated and concentrated sputum sediments, and Xpert MTB/RIF procedures should be done using Biosafety Level 2 practices.<sup>9</sup>
- Use only for the detection of members of the *M. tuberculosis* complex using sediments prepared following the NALC-NaOH or NaOH procedures recommended by the Centers for Disease Control and Prevention (CDC).<sup>10</sup> This test may only be used with raw sputum samples or concentrated sediments prepared from induced or expectorated sputa.
- When processing more than one sample at a time, open only one cartridge; add the Sample Reagent-treated sample and close the cartridge before processing the next sample. Change gloves between samples.
- Do not substitute Xpert MTB/RIF Assay reagents with other reagents.
- Do not open the Xpert MTB/RIF Assay cartridge lid except when adding the Sample Reagent-treated sample.
- Do not use a cartridge if it appears wet or if the lid seal appears to have been broken.
- Do not use a cartridge that has been dropped or shaken.
- Do not use a cartridge that has a damaged reaction tube.
- 2 Each single-use Xpert MTB/RIF Assay cartridge is used to process one test. Do not reuse spent cartridges.
  - Consult your institution's environmental waste personnel regarding proper disposal of used cartridges and unused reagents. This material may contain federal EPA Resource Conservation and Recovery Act (RCRA) hazardous waste requiring specific disposal. Check state and local regulations as they may differ from federal disposal regulations. Institutions should check their country hazardous waste disposal requirements.
  - Sample Reagent contains sodium hydroxide (pH > 12.5); (international chemical safety hazard codes H303, H314), which may be irritating to eyes and skin and requires eye and skin protection. Sample reagent also contains isopropanol (international chemical safety hazard code H226), which is a flammable liquid.
  - The HIV/MTB co-infected population may contain an increased percentage of patients with smear-negative samples with MTB-complex levels below the assay's level of detection.
  - Local, state, and federal regulations for notification of reportable diseases are continually updated and include a number of organisms for surveillance and outbreak investigations.<sup>11,12</sup> Additionally the Centers for Disease Control and Prevention (CDC) recommends that when pathogens from reportable diseases are detected by a culture independent diagnostic test (CIDT), the laboratory should facilitate obtaining the isolate or clinical materials for submission to the appropriate public health laboratory to aid in outbreak and epidemiological investigations. Laboratories are responsible for following their state and local regulations and should consult their state and local public health laboratories for isolate and clinical sample submission guidelines.



#### 8. Specimen Collection, Transport and Storage

#### 8.1 Collection

Collect raw sputum or sputum sediment samples following your institution's standard procedures. The patient should be seated or standing. See Table 1 to determine adequate specimen volume.

	Minimum Volume for	Minimum Total Volume for Test and
Specimen Type	One Test	Retest – See Section 11.2, Retest Procedure
Sputum sediment	0.5 mL	1 mL
Raw sputum	1 mL	2 mL

#### Table 1. Required Specimen Volume

#### 8.2 Storage and Transport

- Sputum sediment: Store resuspended sediments at 2-8 °C for up to seven days.
- Raw sputum: Transport and store specimens at 2–8 °C before processing whenever possible. If necessary, sputum specimens can
- $^{22}$  be stored at a maximum of 35 °C for up to three days and then at 2–8 °C for an additional seven days.

#### 9. Assay Procedure(s)

#### 9.1 Concentrated Sputum Sediment Specimens

This procedure is for use with sputum sediments prepared from induced or expectorated sputa.

**Note** Reject samples with obvious food particles or other solid particulate.

*Volume Requirements:* The MTB/RIF Assay requires at least 0.5 mL of resuspended sputum sediment after digestion, decontamination and concentration. Use the method of Kent and Kubica<sup>8</sup> and resuspend the sediment in a 67 mM Phosphate/H<sub>2</sub>O buffer. After resuspension, keep at least 0.5 mL of the resuspended sediment for the Xpert MTB/RIF Assay.

- 1. Wear protective disposable gloves.
- 2. Label each Xpert MTB/RIF Assay cartridge with the sample ID.

Note Write on the sides of the cartridge or affix an ID label. Do not put the label on the lid of the cartridge or cover the existing barcode on the cartridge.

- 3. Transfer at least 0.5 mL of the total resuspended sediment to a conical, screw-capped tube for the Xpert MTB/RIF Assay using a transfer pipette. Alternatively, the entire sediment can be processed in the original tube.
- Note Store resuspended sediments at 2–8 °C for up to seven days.
  - 4. Using a transfer pipette, transfer 1.5 mL of Sample Reagent to 0.5 mL of resuspended sediment. For larger volumes of sediment, add Sample Reagent equal to three times the volume of the resuspended sediment.
  - 5. Recap the tube and shake vigorously 10 to 20 times or vortex for at least 10 seconds.

Note One back-and-forth movement is a single shake.

Incubate sample for a total of 15 minutes at 20-30°C.

Between 5 and 10 minutes into the incubation period, shake vigorously 10 to 20 times or vortex for at least 10 seconds.

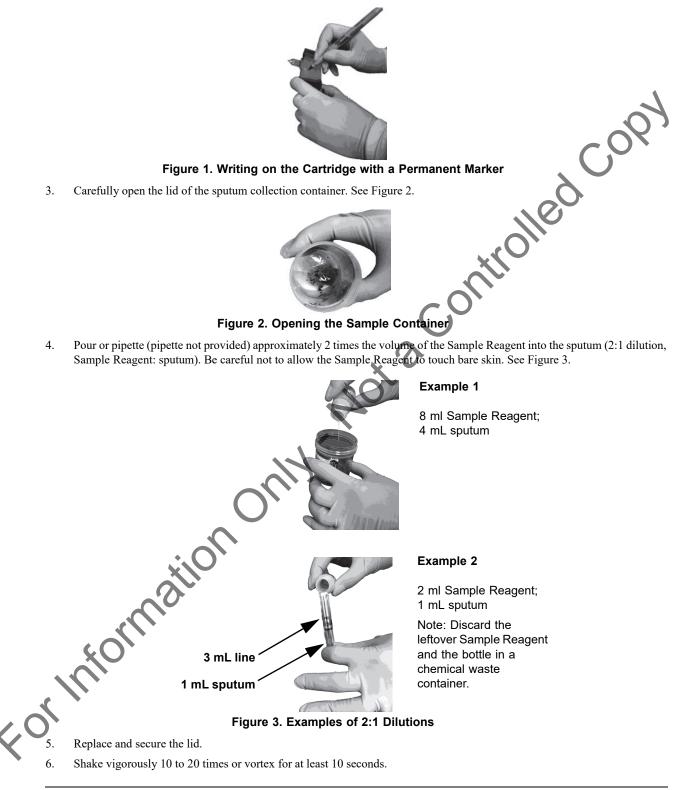
#### 2 Raw Sputum Specimens

Note Reject samples with obvious food particles or other solid particulate.

1. Wear protective disposable gloves.

2. Label each Xpert MTB/RIF Assay cartridge with the sample ID. See Figure 1.

Note Write on the sides of the cartridge or affix an ID label. Do not put the label on the lid of the cartridge or cover the existing barcode on the cartridge.



#### **Note** One back-and-forth movement is a single shake.

- 7. Incubate sample for a total of 15 minutes at 20–30 °C.
- 8. Between 5 and 10 minutes into the incubation period, shake vigorously 10 to 20 times or vortex for at least 10 seconds.

#### 9.3 Preparing the Cartridge

If using a GeneXpert Dx instrument, start the test within four hours of adding the Sample Reagent-treated sample to the cartridge. If using a GeneXpert Infinity system, be sure to start the test and put the cartridge on Important the conveyor within 30 minutes of adding the Sample Reagent-treated sample to the cartridge. Remaining shelf-life is tracked by the system by the Xpertise Software so that tests are run prior to the four hour on-board expiration.

Note When processing more than one sample at a time, open only one cartridge, add the Sample Reagent-treated sample and close the cartridge before moving to the next sample. Change gloves between samples.

To add the sample and reagents into the cartridge:

- 1. Open the cartridge lid, and then open the sample container.
- 2. Using the provided transfer pipette, aspirate the liquefied sample close to the line on the pipette. See Figure 4. If there is insufficient sample volume, do not proceed with testing.

2,05

Figure 4. Aspirating to the Line on the Pipette

3. Dispensing the sample slowly to minimize the risk of aerosol formation, transfer the Sample Reagent-treated sample into the sample chamber of the Xpert MTB/RIF cartridge. See Figure 5.



Figure 5. Dispensing Decontaminated Liquefied Sample into the Sample Chamber of the Cartridge

Close the cartridge lid firmly. Remaining Sample Reagent-treated sample can be kept for up to four hours at 2–8 °C in case retesting is required.

#### 9.4 Starting the Test

## Important Before you start the test, make sure that the system is running GeneXpert Software Version 4.3 or higher and that the Xpert MTB/RIF assay definition file is imported into the software.

This section lists the basic steps for running the test. For detailed instructions, see the *GeneXpert Dx System Operator Manual* or the *GeneXpert Infinity System Operator Manual*.

#### Note The steps you follow may be different if the system administrator has changed the default workflow of the system.

- 1. Turn on the GeneXpert Instrument System.
  - If using the GeneXpert Dx instrument, first turn on the GeneXpert Dx instrument and then turn on the computer. The GeneXpert software will launch automatically or may require double-clicking on the GeneXpert Dx software shortcut icon on the Windows<sup>®</sup> desktop.
    - or
    - If using the GeneXpert Infinity instrument, power up the instrument. On the Windows desktop, double-click the Xpertise software shortcut icon.
- 2. Log on to the GeneXpert Instrument System software using your user name and password.
- 3. In the GeneXpert System window, click Create Test (GeneXpert Dx) or Orders and Order Test (Infinity).
- 4. Scan or type in the Patient ID (optional). If typing the Patient ID, make sure the Patient ID is typed correctly. The Patient ID is associated with the test results and is shown in the View Results window.
- Scan or type in the Sample ID. If typing the Sample ID, make sure the Sample ID is typed correctly. The Sample ID is associated with the test results and is shown in the View Results window and all reports The Scan Cartridge dialog box appears.
- Scan the barcode on the Xpert MTB/RIF Assay cartridge. The Create Test window appears. Using the barcode information, the software automatically fills the boxes for the following fields: Select Assay, Reagent Lot ID, Cartridge SN, and Expiration Date.
- Note If the barcode on the Xpert MTB/RIF Assay cartridge does not scan, then repeat the test with a new cartridge.
  - 7. Click Start Test (GeneXpert Dx) or Submit (Infinity). Enter your password if requested.
  - 8. For the GeneXpert Infinity System, place the cartridge on the conveyor belt. The cartridge will be automatically loaded, the test will run and the used cartridge will be placed in the waste container.

For the GeneXpert Dx Instrument:

or

- A. Open the instrument module door with the blinking green light and load the cartridge.
- B. Close the door. The test starts and the blinking green light changes to a solid green light. When the test is finished, the light turns off.
- C. Wait until the system releases the door lock before opening the module door and removing the cartridge.

D. The used cartridges should be disposed of in the appropriate specimen waste containers according to your institution's standard practices.

#### 9.5 Viewing and Printing Results

This section lists the basic steps for viewing and printing results. For more detailed instructions on how to view and print the results, see the *GeneXpert Dx System Operator Manual* or the *GeneXpert Infinity System Operator Manual*.

- 1. Click the **View Results** icon to view results.
- 2. Upon completion of the test in approximately two hours, click the **Report** button of the View Results screen to view and/or generate a pdf report file.

#### 10. Quality Control

CONTROL

Each test includes a Sample Processing Control (SPC) and Probe Check Control (PCC).

**Sample Processing Control (SPC)** – Ensures the sample was correctly processed. The SPC contains non-infectious spores in the form of a dry spore cake that is included in each cartridge to verify adequate processing of MTB. The SPC verifies that conditions for lysis of MTB have occurred if the organisms are present and verifies that specimen processing is adequate. Additionally, this control detects specimen-associated inhibition of the real-time PCR reactions and acts as an internal positive control.

The SPC should be positive in a MTB-negative sample and can be negative or positive in a positive sample. The SPC passes if it meets the validated acceptance criteria. The test result will be **Invalid** if the SPC is not detected in an MTB-negative sample.

**Probe Check Control (PCC, QC1, QC2)** — Before the start of the first and second reactions of the nested PCR assay, the GeneXpert Instrument System measures the fluorescence signal from the QC1 and QC2 probes (reaction 1) and the *rpoB* and SPC probes (reaction 2) to monitor bead rehydration, reaction-tube filling, probe integrity and dye stability. The PCC passes if it meets the assigned acceptance criteria.

#### **External Controls**

MMQCI (Maine Molecular Quality Controls, Inc.) INTROL<sup>™</sup> External Run Control (catalog # TBNEG-04) as negative control and MMQCI INTROL<sup>™</sup> External Run Controls (catalog # TBWT-04 and catalog # TBMDR1-04) as RIF susceptible and RIF resistant positive controls may be used for training, proficiency testing, and external quality control. External controls should be used in accordance with local, state, and federal accrediting organizations' requirements as applicable.

#### 11. Interpretation of Results

The GeneXpert Instrument System generates the results from measured fluorescent signals and embedded calculation algorithms. The results can be seen in the View Results window. See Figure 6, Figure 7, Figure 8, and Figure 9 for specific examples, and see Table 2 for a list of all possible results.

	Result	Interpretation
	MTB DETECTED;	The MTB target is detected within the sample:
	Rif Resistance DETECTED	A mutation in the <i>rpoB</i> gene has been detected.
	(Figure 6)	• SPC: NA (not applicable). An SPC signal is not required
		because MTB amplification can compete with this
	Ó	control.
		Probe Check (QC1 and QC2): PASS. All probe check
		results pass.
	MTB DETECTED:	The MTB target is detected within the sample:
	Rif Resistance NOT DETECTED	• A mutation in the <i>rpoB</i> gene has not been detected.
	(Figure 7)	• SPC: NA (not applicable). An SPC signal is not required
		because MTB amplification can compete with this
		control.
		Probe Check (QC1 and QC2): PASS. All probe check
		results pass.
	MTB DETECTED;	The MTB target is detected within the sample:
< <sup>1</sup>	Rif Resistance INDETERMINATE (Figure 8)	• A mutation in the <i>rpoB</i> gene could not be determined due
		to insufficient signal detection.
		<ul> <li>SPC: NA (not applicable). An SPC signal is not required</li> </ul>
		because MTB amplification can compete with this control.
		Probe Check (QC1 and QC2): PASS. All probe check
		results pass.

### Table 2. Xpert MTB/RIF Assay Results and Interpretations

	Result	Interpretation
	MTB NOT DETECTED	The MTB target is not detected within the sample.
	(Figure 9)	SPC: PASS. The SPC met the acceptance criteria.
		Probe Check (QC1 and QC2): PASS. All probe check
		results pass.
	INVALID (Figure 10)	The presence or absence of MTB cannot be determined.
		The SPC does not meet the acceptance criteria, the sample
		was not properly processed, or PCR was inhibited. <b>Repeat</b> the test. See Section 11.2, Retest Procedure.
		MTB INVALID: The presence or absence of MTB DNA
		cannot be determined.
		SPC: FAIL. The MTB target result is negative, and the
		SPC Ct is not within valid range.
		<ul> <li>Probe Check (QC1 and QC2): PASS. All probe check</li> </ul>
		results pass.
	ERROR	The presence or absence of MTB cannot be determined.
		Repeat the test. See Section 11.2, Retest Procedure.
		MTB: NO RESULT
		SPC: NO RESULT
		<ul> <li>Probe Check (QC1 and QC2): PASS/FAIL.</li> <li>Probe check failure can be the source of error but other</li> </ul>
		errors, such as system component failure, can occur
		even if probe check passes.
	NO RESULT	The presence or absence of MTB cannot be determined.
		Repeat the test. See Section 11.2, Retest Procedure. A
		NO RESULT indicates that insufficient data were collected.
		For example, the operator stopped a test that was in progress.
		MTB: NO RESULT
		SPC: NO RESULT
		<ul> <li>Probe Check (QC1 and QC2): NA (not applicable).</li> </ul>
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Table 2. Xpert MTB/RIF Assay Results and Interpretations	(Continued)
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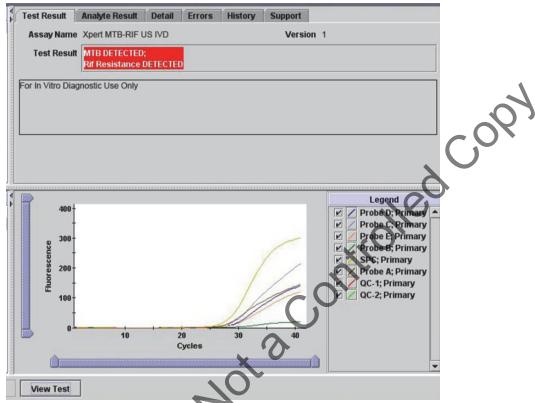


Figure 6. An Example of a MTB DETECTED; Rif Resistance DETECTED Result

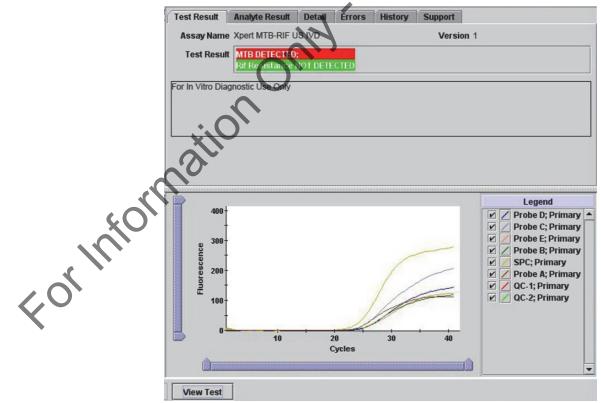


Figure 7. An Example of a MTB DETECTED; Rif Resistance NOT DETECTED Result

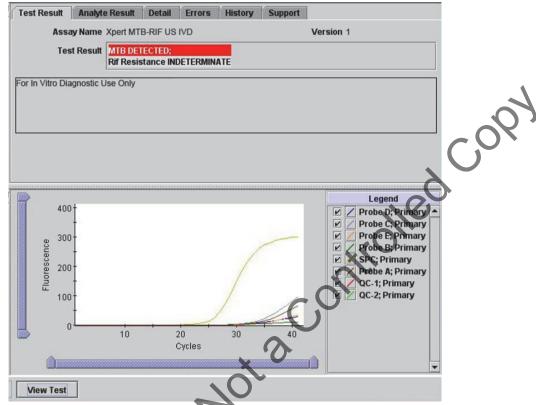


Figure 8. An Example of a MTB DETECTED; Rif Resistance INDETERMINATE Result

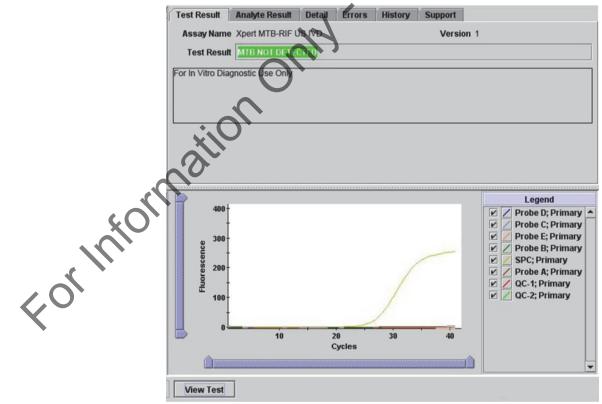


Figure 9. An Example of a MTB NOT DETECTED Result

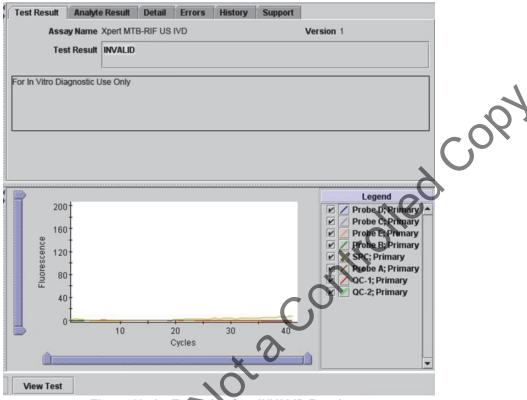


Figure 10. An Example of an INVALID Result

#### 11.1 Reasons to Repeat the Test

Repeat the test using a new cartridge if one of the following test results occurs:

- An INVALID result indicates that the SPC failed. The sample was not properly processed, or PCR was inhibited.
- An **ERROR** result indicates that the PCC (QC1 or QC2) failed or a system failure occurred, and the assay was aborted. The cause of the errors are possibly due to the reaction tube being filled improperly, a reagent probe integrity problem was detected, the maximum pressure limits were exceeded, or a GeneXpert module failed.
- A NO RESULT indicates that insufficient data were collected. For example, the operator stopped a test that was in progress.

#### 11.2 Retest Procedure

If you have leftover reconstituted sediment or raw sputum always use new Sample Reagent to treat the sputum before running the assay. See Section 9.1, Concentrated Sputum Sediment Specimens or Section 9.2, Raw Sputum Specimens.

If you have sufficient leftover Sample Reagent-treated sample and are within four hours of sample preparation, you can use the leftover sample to prepare and process a new cartridge immediately on the GeneXpert instrument.

Note If an using an Infinity instrument, the retest should be initiated on modules that are designated as reserved STAT modules.

When retesting, always use a new cartridge. See Section 9.3, Preparing the Cartridge.

### 12. Limitations

- The performance of the Xpert MTB/RIF Assay was evaluated using induced or expectorated sputa. Testing of other clinical samples (e.g., blood, CSF, gastric aspirate, stool, tissue, urine) has not been evaluated and may alter test performance.
- Concentrated sputum sediments used during the performance evaluation of the Xpert MTB/RIF Assay were prepared following the NALC-NaOH or NaOH procedures recommended by the Centers for Disease Control and Prevention (CDC).<sup>8</sup> Use of other methods of sediment preparation may alter the performance of the test.
- The Xpert MTB/RIF Assay is not indicated for use with sputum samples from patients being treated with antituberculosis drugs either to determine bacteriologic cure or to monitor response to therapy.
- A negative test does not exclude the possibility of isolating MTB-complex from the sputum sample. The Xpert MTB/RIF Assay must be used in conjunction with mycobacterial culture to address the risk of false negative results and to recover the organism for further characterization and susceptibility testing.
- A positive test does not necessarily indicate the presence of viable organisms.
- The Xpert MTB/RIF Assay does not differentiate between the species of the MTB-complex (i.e., *M. uberculosis*, *M. bovis*, *M. africanum*, *M. canettii*, *M. microti*, *M. caprae*, *M. pinnipedi*, *M. mungi*, and *M. orygis*). In addition, culture must also be performed to determine if mycobacteria other than tuberculosis complex (MOTT) is present in addition to MTB-complex.
- Lower sensitivity may be observed in pediatric patients due to the diffuse nature of MTB infection in the lungs of this patient group, and difficulties encountered in obtaining adequate specimens.
- Due to the low prevalence of rifampin resistant TB in the United States and the implications of rifampin resistance for treatment, all MTB-complex strains determined to be rifampin resistant by the Xpert MTB/RIF Assay must have the presence of rifampin resistance associated mutations of the *rpoB* gene confirmed by a reference laboratory. Additional testing for the presence of mutations associated with resistance to other drugs for the treatment of TB should also be performed.
- Because the detection of MTB-complex is dependent on the number of organisms present in the sample, accurate results are dependent on proper specimen collection, handling, and storage. Erroneous test results might occur from improper specimen collection, failure to follow the recommended sample collection procedure, handling or storage problems, technical error, sample mix-up, or an insufficient concentration of starting material. Careful compliance to the instructions in this insert is necessary to avoid erroneous results.
- The performance of the Xpert MTB/RIF Assay has not been evaluated with samples from pediatric patients.
- The performance of the Xpert MTB/RIF test is dependent on operator proficiency and adherence to assay procedures. Assay procedural errors may cause false positive or false negative results. All device operators should have appropriate device training.
- A trained health care professional should interpret assay results in conjunction with the patient's medical history, clinical signs and symptoms, and the results of other diagnostic tests.
- Assay interference may be observed in the presence of Lidocaine (>20% v/v), mucin (>1.5% w/v), Ethambutol (>5  $\mu$ g/mL), Guaifenesin (>2.5 mg/mL), Phenylephrine (>25% v/v), or tea tree oil (>0.008% v/v).
- Studies demonstrated that *M. scrofulaceum* when tested at a concentration of 10<sup>8</sup> CFU/mL produced a false positive Xpert MTB/RIF Assay result.
- Mutations or polymorphisms in primer or probe binding regions may affect detection of new or unknown MDR-MTB or RIF-resistant strains resulting in a false negative result or a false resistant result in rifampin-susceptible strains.

#### 13. Expected Values of One Xpert MTB/RIF Assay Result

The likelihood that a positive test result is a true positive will vary based on the prevalence of the tuberculosis in the population being tested and whether the AFB smear is positive or negative.

In two multicenter prospective clinical evaluations of Xpert MTB/RIF Assay performance in subjects in the United States with suspected active TB, overall prevalence of culture-confirmed disease was 13.2%. Of subjects with culture confirmed TB, 71.6% were AFB smear-positive.

#### 13.1 Predictive Values for One Xpert MTB/RIF Assay Result

Hypothetical estimated positive and negative predictive values of MTB detection for different prevalence rates for detecting MTB using the Xpert MTB/RIF Assay are shown in Table 3. These calculations are based on hypothetical prevalences and the overall sensitivity and specificity (when compared to culture) observed in the multi-center clinical studies. The sensitivity of the Xpert MTB/RIF Assay for AFB smear-positive specimens was 99.4% (479/482) and sensitivity for AFB smear-negative specimens was 67.2% (135/201). Overall specificity of Xpert MTB/RIF Assay was 98.7% (1355/1373). The prevalence of MTB was 11.8% in the first U.S. prospective study and 14.2% in the second prospective U.S. study.

#### Table 3. Hypothetical Predictive Values of One Xpert MTB/RIF Assay Result vs. MTB Culture

	Prevalence of MTB Culture Positive		of MTB Culture ive Among Xpert MTB/RIF DETECTED AFB Smear Neg.	Culture Negative Among Xpert MTB/RIF NOT DETECTED
	1%	89.69%	13.67%	99.90%
	2%	94.61%	24.24%	99.80%
	3%	96.38%	32.65%	99.70%
	4%	97.29%	39.51%	99.59%
	5%	97.84%	45.20%	99.48%
	10%	98.97%	63.52%	98.91%
	11.8%	99.14%	67.71%	98.70%
	14.2%	99.30%	72.18%	98.39%
	20%	99.54%	79.67%	97.59%
	40%	99.83%	91.27%	93.82%
	50%	99.88%	94.00%	91.01%
Form	ormar	, ,		

#### 13.2 Predictive Values Based on Two Xpert MTB/RIF Assay Results

Hypothetical estimated positive and negative predictive values of MTB detection for different prevalence rates for detecting MTB using two Xpert MTB/RIF Assay results are shown in Table 4. These calculations are based on hypothetical prevalence and the overall sensitivity and specificity (when compared to culture) observed in the second of the two multi-center studies where two Xpert MTB/RIF Assays were performed on each subject. The sensitivity of two Xpert MTB/RIF Assay results for AFB smear-positive specimens was 100% (133/133) and the sensitivity for AFB smear-negative specimens was 69.4% (59/85). Overall specificity of two Xpert MTB/RIF Assay results was 97.9% (746/762).

Prevalence		of MTB Culture ive Among	Probability of MTB Culture Negative Among
of MTB Culture Positive	Two Xpert MTB/RIF DETECTED, AFB Smear Pos.	Two Xpert MTB/RIF DETECTED AFB Smear Neg.	Two Xpert MTB/RIF NOT DETECTED
1%	84.40%	9.19%	99.91%
2%	91.62%	16.98%	99.82%
3%	94.31%	23.66%	99.72%
4%	95.71%	29.46%	99.63%
5%	96.57%	34.54%	99.53%
10%	98.35%	52.69%	99.02%
11.8%	98.62%	57.28%	98.82%
14.2%	98.88%	62.39%	98.54%
20%	99.26%	71.48%	97.81%
40%	99.72%	86.98%	94.37%
50%	99.81%	90.93%	91.79%

Table 4	Hypothetical	Due dietive	Values of	Ture Verent	A	Deeulte ve	MATO	C
Table 4.	Hypothetical	Predictive	values of	Iwo xberi	ASSAV	Results vs.		CUITURE
100010 11			141400 01		 , .oouj			- and -

<sup>a</sup> Sensitivity of 100% for two Xpert MTB/RIF assay results for AFB smear positive subjects was considered as 99.9% in this table.

**13.3 Predictive Values for the Result MTB DETECTED, RIF Resistance DETECTED of One Xpert MTB/RIF Assay Result** Hypothetical estimated predictive values for the result **MTB Detected, RIF Resistance DETECTED** for different prevalence rates of MTB culture positive subjects and different prevalence rates of RIF resistance among MTB culture positive subjects are shown in Table 5. These calculations are based on hypothetical prevalences and the overall sensitivity and specificity (compared to phenotypic drug susceptibility testing (DST)) observed during the first of two multi-center clinical studies. The sensitivity of the one Xpert MTB/RIF Assay result for the detection of RIF resistance was 94.7% (18/19) and the specificity was 99.0% (404/408). The prevalence of TB in this U.S. prospective study was 11.8%. In the U.S. population with TB the prevalence of rifampin resistance is approximately 1.8%.<sup>5</sup>

Prevalence of MTB Culture Positive	Prevalence of RIF Resistance Among MTB Culture Positive	Probability of RIF Resistance among Xpert results MTB DETECTED RIF Resistance DETECTED	Percent of Xpert results MTB DETECTED RIF Resistance DETECTED, in the population	Probability of RIF Resistance among Xpert results MTB DETECTED, RIF Resistance NOT DETECTED
	1.0%	48.4%	0.09%	0.04%
	1.5%	58.6%	0.11%	0.06%
5%	2.0%	65.5%	0.13%	0.08%
	10%	91.2%	0.47%	0.45%
	50%	98.9%	2.17%	3.39%
	1.0%	48.4%	0.21%	0.05%
	1.5%	58.6%	0.26%	0.07%
11.8%	2.0%	65.5%	0.31%	0.10%
	10%	91.2%	1.11%	0.51%
	50%	98.9%	5.11%	4.16%
	1.0%	48.4%	0.35%	0.05%
	1.5%	58.6%	0.44%	0.07%
20%	2.0%	65.5%	0.52%	0.10%
	10%	91.2%	1.88%	0.54%
	50%	98.9%	8.66%	4.46%

Table 5. Hypothetical Predictive Values of One Xpert MTB/RIF Assay Result vs. DST

### 14. Performance Characteristics—Clinical Performance

#### 14.1 Study 1

#### 14.1.1 Study Design

Performance characteristics of the Xpert MTB/RIF Assay for detection of MTB-complex DNA and for detection of RIF resistance in sputum samples relative to results from culture (solid and/or liquid) followed by drug susceptibility testing (DST) were determined in a multi-center study (Study 1) using prospective and archived sputum specimens collected from both U.S. and non-U.S. populations. A single leftover standard of care sputum sample or concentrated sediment prepared from induced or expectorated sputa were tested by the Xpert MTB/RIF Assay from study subjects suspected of tuberculosis. All AFB smears were performed on concentrated sediments.

Specimens from subjects 18 years or older were eligible for Study 1 if they were suspected of pulmonary tuberculosis, on no TB treatment or with less than three days of TB treatment, had sufficient volume for testing on the Xpert MTB/RIF Assay and had AFB smear, MTB culture and phenotypic drug susceptibility testing (DST) results. Of the 1,126 specimens eligible and tested by the Xpert MTB/RIF Assay, 1,096 were used in the analysis. Thirty specimens were excluded from the analysis; 13 specimens due to Xpert MTB/RIF Assay non-determinate results (i.e., INVALID, ERROR or NO RESULT) and 17 specimens due to MTB culture contamination.

The specimens came from study subjects who were  $\geq 18$  years old, 62% (n=679) male, 36% female (n=396); for 1.9% (n=21) gender was unknown. They were from geographically diverse regions: 49% (n=542) were from the U.S. (California, New York and Florida) and 51% (n=554) were from outside the U.S. (Vietnam, Peru, South Africa, Mexico and Bangladesh). Of the 542 U.S. specimens, 450 were prospectively collected and 92 were from an archived specimen bank; of the 554 non-U.S. specimens, 23 were prospectively collected and 531 were from an archived specimen bank.

#### 14.1.2 Performance of One Xpert MTB/RIF Assay Result vs MTB Culture

One to three sputum specimens were collected from each study subject for use in the clinical study (33.9% of study subjects had 1 sputum specimen collected, 44.2% had 2 sputum specimens, and 22.0% had 3 sputum specimens). If more than one specimen was collected from a subject, the first sample with sufficient volume was tested by the Xpert MTB/RIF Assay. If the assay result was non-determinate (Error, Invalid or No Result), the same specimen was retested if there was sufficient volume. Overall, 1.2% of tested samples (13/1,126; 95% CI: 0.7% to 2.0%) were non-determinate. Among 1,096 subjects with MTB culture results, an Xpert MTB/RIF Assay result was obtained with the first specimen for 85.5% of subjects, with the second specimen for 11.2% of subjects, and with the third specimen for 0.3% of subjects. AFB smear status for a subject was determined by the AFB smear result from the specimen with a corresponding Xpert MTB/RIF Assay result. The MTB culture status for a subject was defined based on the MTB culture result of all specimens for this subject.

The performance of the Xpert MTB/RIF Assay for detection of MTB relative to MTB culture, stratified by AFB smear status is shown in Table 6 and Table 7. Discordant results for MTB culture positive and Xpert MTB/RIF Assay results of **MTB NOT DETECTED** were further evaluated using bi-directional sequencing of the *rpoB* region of the MTB genome. No discordant analysis was performed on MTB culture negative specimens.

#### Table 6. Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture for AFB Smear-Positive Subjects

		Culture		
		+	-	Total
	MTB DETECTED	350		351
Xpert MTB/ RIF Assay	MTB NOT DETECTED	1 <sup>b</sup>	65	66
	Total	351	66	417
Sensitivity = 9 Specificity =98	Sensitivity = 99.7% (350/351) with 95% CI: 98.4% - 99.9% Specificity =98.5% (65/66) with 95% CI: 91.9% – 99.7%			

<sup>a</sup> The Xpert MTB/RIF Assay detected MTB in one specimen that was MTB culture negative. The culture result was based on one sputum specimen for this subject.

<sup>b</sup> One MTB culture positive specimen was not detected by the Xpert MTB/RIF Assay. This culture isolate was determined to be MTB by bi-directional sequencing analysis.

#### Table 7. Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture for AFB Smear-Negative Subjects

	5		Culture	
•. (		+	-	Total
2	MTB DETECTED	89	7 <sup>a</sup>	96
Xpert MTB/ RIF Assay	MTB NOT DETECTED	28 <sup>b</sup>	555	583
	Total	117	562	679
		n 95% CI: 67.6% - h 95% CI: 97.5% -		

<sup>a</sup> The Xpert MTB/RIF Assay detected MTB in seven specimens that were MTB culture negative. The culture results were based on one sputum specimen for three subjects, two sputum specimens for two subjects, and three sputum specimens for two subjects.

<sup>b</sup> Twenty-eight MTB culture positive specimens were not detected by the Xpert MTB/RIF Assay. These culture isolates were determined to be MTB by bi-directional sequencing analysis.

Overall sensitivity depends on the percent of AFB smear positive subjects among the subjects with positive MTB culture. For the prospectively collected specimens from the U.S. subjects of Study 1, this percent was 75.5% and the overall sensitivity was 93.8%. The overall specificity was 98.7% (95% CI: 97.5% - 99.4%).

In clinical use overall sensitivity will vary depending on the percentage of patients with AFB-smear positive tuberculosis in the population being tested; overall sensitivity will be lower in a tested population where the probability of having AFB-smear positive tuberculosis is lower, e.g., a patient population with a higher prevalence of HIV co-infection.

#### 14.1.3 Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture by Collection Method

The performance of the Xpert MTB/RIF Assay for detection of MTB was determined relative to MTB culture in expectorated and induced sputum specimens. Results are shown in Table 8 and Table 9. Of the 1,096 specimens, 535 were expectorated specimens, 234 induced specimens, and 327 with unknown collection method.

	AFB Smear-Positive Subjects	AFB Smear-Negative Subjects	
Sensitivity	99.6% (271/272) 95% CI: 97.9% - 99.9%	79.0% (75/95) 95% Cl: 69.7% - 85.9%	
Specificity	97.6% (1 95% CI: 94.0	,	þ

#### Table 8. Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture (Expectorated)

#### Table 9. Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture (Induced)

	AFB Smear-Positive Subjects	AFB Smear-Negative Subjects			
Sensitivity	100% (15/15) 95% CI: 79.6% - 100%	40.0% (4/10) 95% Cl: 16.8% - 68.7%			
Specificity	99.0% (207/209) 95% CI: 96.6% - 99.7%				

#### 14.1.4 Performance of One Xpert MTB/RIF Assay Result vs. Culture by Specimen Type

The performance of the Xpert MTB/RIF Assay for detection of MTB was determined relative to MTB culture in raw sputum and concentrated sputum sediment specimens. Results are shown in Table 10 and Table 11. Among 1,096 specimens, there were 606 raw sputum specimens and 490 concentrated sputum sediment specimens.

#### Table 10. Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture (Raw Sputum)

	AFB Smear-Positive Subjects	AFB Smear-Negative Subjects			
Sensitivity	99.7% (285/286) 95% Cl: 98.0% - 99.9%	79.4% (77/97) 95% CI: 70.3% - 86.2%			
Specificity	97.8% (218/223) 95% Cl: 94.9% -99.0%				

Performance of One Xpert MTB/RIF Assay Result vs. MTB Culture (Concentrated Sediment) Table 1 Fork

	AFB Smear-Positive Subjects	AFB Smear-Negative Subjects		
Sensitivity	100% (65/65) 95% CI: 94.4% - 100%	60.0% (12/20) 95% CI: 38.7% - 78.1%		
Specificity	99.3% (402/405) 95% CI: 97.8% - 99.7%			

#### 14.1.5 Performance of One Xpert MTB/RIF Assay Result vs. Drug Susceptibility Testing for RIF

MTB positive culture isolates were tested for drug susceptibility (DST) to rifampin using the agar proportions methods with Middlebrook or Lowenstein-Jensen media or the BD BACTEC<sup>TM</sup> MGIT<sup>TM</sup> 960 SIRE assay. The performance of the Xpert MTB/RIF Assay for detection of genetic mutations associated with RIF resistance was determined relative to the DST results of the MTB culture isolates. Of the 1,096 subjects eligible and tested by the Xpert MTB/RIF Assay, 1,082 were used in the analysis. Fourteen subjects were excluded from the analysis; six subjects had an Xpert MTB/RIF Assay result of **MTB DETECTED**, **Rif Resistance INDETERMINATE** and eight subjects with MTB positive cultures did not have DST results.

Results for the detection of RIF resistance associated mutations are reported by the Xpert MTB/RIF Assay only when MTBcomplex was detected by the device. Discordant results were further evaluated using bi-directional sequencing of the *poB* region of the MTB genome. Overall results are reported in Table 12.

			DST		6		
		RIF Resistant	RIF Susceptible	DST Not Done TB Culture Negative	Total		
	MTB DETECTED, Rif Resistance DETECTED	18	4 <sup>a</sup>	0	22		
Xpert MTB/ RIF Assay	MTB DETECTED, RifResistance NOT DETECTED	1 <sup>b</sup>	404	7	412		
	MTB NOT DETECTED <sup>c</sup>	2	26	620	648		
	Total	21	434	627	1,082		
	Sensitivity: 94.7% (18/19) with 95% CI: 75.4%-99.1% Specificity: 99.0% (404/408) with 95% CI: 97.5%-99.6%						

#### Table 12. Performance of One Xpert MTB/RIF Assay Result vs. DST

<sup>a</sup> Of the four discordant specimens determined to be RIF susceptible by DST and RIF resistance DETECTED by the Xpert

MTB/RIF Assay, one was shown to be RIF susceptible and three were RIF resistant by bi-directional sequencing.

<sup>b</sup> One discordant specimen determined to be RIF resistant by DST and **RIF resistance NOT DETECTED** by the Xpert MTB/RIF Assay was determined to be RIF resistant by bi-directional sequencing.

<sup>c</sup> MTB was not detected and therefore detection of RIF resistance associated mutations could not be determined.

RIF Resistance INDETERMINATE results were reported for 1.3% (6/447, 95% CI: 0.6% - 2.9%) of the Xpert MTB/RIF Assay MTB-detected specimens overall; 0.28% (1/351, 95% CI: 0.01% to 1.58%) of AFB-smear positive specimens and 5.21% (5/96; 95% CI: 2.24% to 11.62%) of AFB-smear negative specimens.

#### 14.2 Study 2

#### 14.2.1 Study Design

A prospective multi-center study (Study 2) was conducted at multiple sites in the United States, as well as South Africa and Brazil. Performance of the MTB/RIF Assay was assessed as an alternative to fluorescent stained AFB-smear microscopy as an aid in determining the need for continued airborne infection isolation in patients with suspected active pulmonary tuberculosis. Results from Xpert MTB/RIF Assay testing of two serial sputum specimens in study subjects with suspected active pulmonary tuberculosis were compared to results of fluorescent stained AFB smears of the same specimens; a subset of subjects had a third sputum specimen tested by AFB-smear but not by the Xpert MTB/RIF Assay. Each specimen was cultured for MTB-complex using liquid and solid culture media, with mycobacterial growth confirmed for MTB-complex and rifampin drug susceptibility testing performed via the Middlebrook agar proportion method. Study 2 was also designed to evaluate the clinical performance of the Xpert MTB/RIF Assay using prospectively collected sputum specimens in HIV-infected and HIV-uninfected populations.

Study subjects 18 years or older were eligible for enrollment if they were suspected of pulmonary tuberculosis, on no treatment or had fewer than 48 hours of TB treatment within 180 days prior to collection of the first sputum specimen, and had determination/documentation of HIV status. Subjects were included in the analysis if they produced at least two sputum specimens collected in sufficient volume for testing by Xpert MTB/RIF Assay, AFB smear, and MTB culture, and interpretable results were available for all three methods. A third specimen for analysis was collected at some sites based on standard of care protocols. Of 992 eligible and tested subjects, thirty-two subjects (3.2%) were excluded from the analysis: 7 due to absence of culture results and 3 due to MTB culture contamination. Twenty-two subjects (2.2%) were excluded due to Xpert MTB/RIF Assay non-determinate results (i.e., **INVALID**, **ERROR**, or **NO RESULT**). Therefore, 960 subjects were used in the analysis based on the first Xpert MTB/RIF Assay result gave valid results for the second Xpert MTB/RIF Assay test specimen, therefore, analysis based on two Xpert MTB/RIF Assay test specimens included a total of 980 subjects.

Study subjects were 62% male, 38% female. Sixty-five (65%) percent of subjects were from the U.S., and 35% were from non-U.S. sites. Forty-five (45%) percent of study subjects were HIV-infected and 55% were HIV-uninfected subjects. Expectorated and induced sputa represented 59.6% and 33.6% of specimens respectively; 7% of sputum specimens were unspecified. Twenty-eight percent of specimens were raw sputa and 72% were concentrated sputum sediments.

#### 14.2.2 Xpert MTB/RIF Assay Performance as Predictive of Results of Serial Fluorescent Stained AFB Smears

Of 215 study subjects with culture confirmed MTB-complex (14.2% [88/618] of U.S. subjects and 37.1% [127/342] of non-U.S. subjects), 99% of subjects (97% of U.S. subjects and 100% of non-U.S. subjects) with suspected pulmonary tuberculosis where MTB-complex was detected by acid-fast microscopy of two or three serial sputum specimens also had MTB-complex detected by testing of a single sputum by the Xpert MTB/RIF Assay. Results from testing of two serial sputum specimens by the Xpert MTB/RIF Assay detected MTB-complex in all AFB-smear-positive subjects (100% in the U.S. subjects and 100% in non-U.S. subjects).

A single negative Xpert MTB/RIF Assay result predicted the absence of AFB smear-positive pulmonary tuberculosis with an overall negative predictive value (NPV) of 99.7% (99.6% in the U.S. and 100% in non-U.S.). Two serial negative Xpert MTB/ RIF Assay results predicted the absence of AFB smear-positive pulmonary tuberculosis with an overall NPV of 100%.

#### 14.2.3 One Xpert MTB/RIF Assay Result as Predictive of Results of Serial Fluorescent Stained AFB Smears

Table 13 and Table 14 present the overall performance of one Xpert MTB/RIF Assay result compared to the results of MTB culture, stratified by AFB smear result (Table 13). Table 14 is a side-by-side comparison of the performance of one Xpert MTB/RIF Assay result versus the composite result of two AFB smears in U.S. and non-U.S. subjects (N=960).

Overall sensitivity of one Xpert MTB/RIF Assay in AFB smear-positive and AFB smear-negative subjects (based on two AFB smears) was 98.5% (95% CI: 94.6%,99.6%) and 54.8% (95% CI: 44.1%, 65.0%) respectively, and overall specificity was 98.7% (95% CI: 97.5%, 99.3%). One Xpert MTB/RIF Assay result of "MTB Not Detected" was associated with a probability of MTB culture-positive/AFB smear-positive results of 0.4% for U.S. subjects and 0.0% for non-U.S. subjects.

					Culture			
			Positive			Negative	)	
		AFB Smear +	AFB Smear -	Overall Culture +	AFB Smear +	AFB Smear -	Overall Culture -	Total
	Positive	129	46	175	1	9	10 <sup>a</sup>	185
Xpert MTB/ RIF Assay	Negative	2	38	40	17	718	735	775
Kii Assay	Total	131	84	215	18 <sup>b</sup>	727	745	960
Sensitivity: 98. Specificity: 94.4 Performance of Sensitivity: 54.8 Specificity: 98.8	4% (17/18), 9 of Xpert MTB 8% (46/84), 9	5% CI: 74.2° / <b>RIF Assay</b> 5% CI: 44.1°	%, 99.0% <b>for Smear</b> %, 65.0%	Negative:	C	ontro	)`	
Prevalence of I Prevalence of I Prevalence of I Percent of AFB Overall Probab 775), 95% CI: 3	MTB Culture I MTB Culture p 3 smear positi ility of MTB C	Positive in U positive in no ve subjects a	on-U.S. sub among sub	s: 14.2 bjects: 37.1 jects with M	1TB Culture	) 2) e Positive: (	·	
Probability of M 2.4% (13/539),	/TB Culture P 95% CI: 1.4%	%, 4.1% ositive amor	ng subjects			-		-
Probability of M subjects): 11.49	% (27/236), 9		, 16.1%					
	oility of MTB C	ulture Positi	ve and AFE		sitive amor	ng subjects	with an Xpert	MTB/RII
subjects): 11.49 Overall Probab	vility of MTB C It: 0.3% (2/77 ITB Culture P	culture Positi 5), 95% CI: ositive and A	ve and AFE <0.1%, 0.9 AFB smear	% positive am				
subjects): 11.4 Overall Probab Negative Resu Probability of V	oility of MTB C It: 0 3% (2/77 ATE Culture P Ibjects): 0.4% ATB Culture P	Sulture Positi 5), 95% CI: ositive and A (2/539), 95° ositive and A	ve and AFE <0.1%, 0.9 AFB smear % Cl: 0.1% AFB smear	% positive am , 1.3% positive am	iong subje	cts with an 2	Xpert MTB/RIF	<sup>-</sup> Negativ

# Table 13. Performance of One Xpert MTB/RIF Assay Result Stratified by Two AFB Smears Relative to MTB Culture in U.S. and non-U.S. Subjects

0	ne Xpert MTB/RIF		Culture		-	Two AFB		Culture	
	Assay Result Positive Negative Total Smears		Positive	Negative	Total				
	Positive	175	10	185	ear	Positive	131	18	149
Xpert	Negative	40	735	775	B Smear	Negative	84	727	811
	Total	215	745	960	AFB	Total	215	745	960
	Sensitivity:	81.4% (	95% CI: 75.7	, 86.0)	S	ensitivity:	60.9% (9	5% CI: 54.1,	67.5)
	Specificity:	98.7% (	95% CI: 97.5	, 99.3)	S	Specificity:	97.6% (9	5% CI: 96.2,	98.6)
	U.S. prevalence	14.2% (	(95% CI: 11.7	, 17.2)	U.S	. prevalence	14.2% (9	5% CI: 11.7,	17.2)
	PPV:	94.9% (	95% CI: 87.7	, 98.0)		PPV:	77.2% (9	5% CI: 66.8,	85.1)
	NPV:	97.6% (	95% CI: 95.9	, 98.6)		NPV:	95.0% (9	5% CI: 92.8,	96.5)
No	on-U.S. prevalence	37.1% (	95% CI: 32.2	, 42.4)	Non-U	.S. Prevalence:	37.1% (9	5% CI: 32.2,	42.4)
	PPV	94.3% (	95% CI: 88.2	, 97.4)		PPV	100% (9	5% CI: 94.8,	100)
	NPV	88.6 (9	95% CI: 83.9,	92.0)		NPV	79.0% (9	5% CI: 73.8,	83.5)

## Table 14. Comparison of Performance of One Xpert MTB/RIF Assay Result vs Two AFB Smears Each Versus MTB Culture in U.S. and non-U.S. Subjects

In U.S. subjects, the NPV for one Xpert MTB/RIF Assay result was 97.6% (95% CI: 95.9%, 98.6%) while the NPV for two AFB smears results was 95.0% (95% CI: 92.8%, 96.5%) with a prevalence of TB in U.S. subjects of 14.2%. The difference in NPVs was 2.6% with 95% CI: 1.2%, 4.2%.

#### 14.2.4 Two Xpert MTB/RIF Assay Results as Predictive of Results of Serial Fluorescent Stained AFB Smears

Table 15 and Table 16 present the overall performance of two Xpert MTB/RIF Assay results compared to the results of MTB culture, stratified by AFB smear result (Table 15). Table 16 compares the performance of two Xpert MTB/RIF Assays versus the composite result of two AFB smears in U.S. and non-U.S. subjects (N=980).

Overall sensitivity of two Xpert MTB/RIF Assay results in AFB smear-positive and AFB smear-negative subjects based on two AFB smears was 100.0% (95% CI: 97.2%, 100.0%) and 69.4% (95% CI: 59.0%,78.2%) respectively, and the overall specificity was 97.9% (95% CI: 96.6%, 98.7%). No MTB culture-positive/AFB smear-positive results were observed in subjects with two serial negative Xpert MTB/RIF Assay results.

Format

					Culture	1		
			Positive			Negative	9	
		AFB Smear +	AFB Smear -	Overall Culture +	AFB Smear +	AFB Smear -	Overall Culture -	Tota
	Positive	133	59	192	1	15	16 <sup>a</sup>	208
Xpert MTB/	Negative	0	26	26	17	729	746	772
RIF Assay	Total	133	85	218	18 <sup>b</sup>	744	762	980
Performance	of Xpert MTB	/RIF Assay	for Smear	Positive:		•		
Sensitivity: 100 Specificity: 94. <b>Performance</b> Sensitivity: 69. Specificity: 98.	.4% (17/18), 9 of Xpert MTB .4% (59/85), 9	5% CI: 74.29 / <b>RIF Assay</b> 5% CI: 59.09	%, 99.0% for Smear %, 78.2%	Negative:		ontr		
Prevalence of					% (218/98			
Prevalence of Prevalence of					% (91/633 % (127/347			
Percent of AFE (133/218)	3 smear positi	ve subjects a	among sub	jects with a	n MTB Cu	ture Positiv	ve Result: 61.0	1%
Probability of N	MTB Culture P	ositive amor	ng subjects	with Xpert	MTB/RIF I	Negative Re	esults: 3.4% (2	26/772),
95% CI: 2.3%, Probability of N		ositive amor	ng subjects	with Xpert	MTB/RIF I	Negative Re	esults (U.S. su	ibjects):
1.5% (8/544), 9	95% CI: 0.7%	2.9%	• •	·		U U	· ·	
Probability of M 7.9% (18/228),			g subjects	with Xpert N	/ITB/RIF N	egative Res	Suits (non-U.S.	subject
Probability of N	MTB Culture P	ositive and A	AFB smear	positive an	nong subje	cts with Xp	ert MTB/RIF N	legative
Results: 0.0% Probability of N	(0/772), 95% MTB Culture P	CI: 0.0%, 0.5	5% AFB smear	positive an	nona subie	cts with Xn	ert MTR/RIF N	legative
	subjects): 0.0%	6 (0/544), 95	5% CI: 0.0%	%, 0.7%				
		ositive and A	AFB smear			cts with Xp	ert MTB/RIF N	legative
Results (U.S. s Probability of M Results (non-U			8), 95% CI:	0.0%, 1.7%				5

# Table 15. Performance of Two Xpert MTB/RIF Assay Results Stratified by Two AFB Smears Relative to MTBCulture in U.S. and non-U.S. Subjects

Table 16 compares performance of two Xpert MTB/RIF Assay Results and two AFB Smears to MTB Culture. Xpert MTB/RIF Assay results identified 88.1% (95% CI: 83.1%, 91.7%) of MTB culture-positive subjects compared to 61.0% (95% CI:54.4%, 67.2%) two AFB smears.

6	One Xpert MTB/RIF	Xpert MTB/RIF Culture		-	Two AFB		Culture	5	
	Assay Result	Positive	Positive Negative Total			Smears	Positive	Negative	Total
	Positive	192	16	208	ear	Positive	133	18	151
Xnart	Negative	26	746	772	3 Smear	Negative	85	744	829
	Total	218	762	980	AFB	Total	218	762	980
	Sensitivity:	88.1% (	(95% CI: 83.1	, 91.7)	s	Sensitivity:	61.0% (9	95% CI: 54.4,	67.2)
	Specificity:	97.9% (	(95% CI: 96.6	6, 98.7)	s	Specificity:	97.6% (9	95% CI: 96.3,	98.5)
	U.S. prevalence	14.4%	(95% CI: 11.9	, 17.3)	U.S	. prevalence	14.4% (9	95% CI: 11.9,	17.3)
	PPV:	93.3% (	(95% CI: 86.1	, 96.9)		PPV:	77.8% (9	95% CI: 67.6,	85.5)
	NPV:	98.5% (	(95% CI: 97.1	, 99.3)		NPV:	94.9% (9	95% CI: 92.8,	96.5)
Ν	lon-U.S. prevalence	36.6% (	(95% CI: 31.7	′, 41.8)	Non-U	S. Prevalence:	36.6% (9	95% CI: 31.7,	41.8)
	PPV	91.6% (	95% CI: 85.2	2, 95.4)		PPV	100% (9	95% CI: 94.8,	100)
	NPV	92.1 (9	95% CI: 87.9,	94.9)	7	NPV	79.4% (9	95% CI: 74.3,	83.8)

# Table 16. Comparison of Performance of Two Xpert MTB/RIF Assay Results vs Two AFB Smears Each Versus MTB Culture in U.S. and non-U.S. Subjects

In U.S. subjects, the NPV for two Xpert MTB/RIF Assay results was 98.5% (95% CI: 97.1%, 99.3%) while the NPV for two AFB smears results was 94.9% (95% CI: 92.8%, 96.5%) when the prevalence of TB in the U.S. subjects was 14.4%.

Detailed information of Xpert MTB/RIF Assay performance as compared to AFB smears with regard to time between collection of sputum specimens in U.S. subjects is presented in Table 17.

#### Table 17. Xpert MTB/RIF Assay Performance vs AFB Smear Microscopy Relative to Collection Time Between Sputum Specimens

	Xpert Results	AFB Smear Results
	One Xpert Result Sensitivity = 85.2% (75/88) Specificity = 99.2%(526/530) Prevalence = 14.2% (88/618) NPV = 97.6% (526/539) Probability of MTB culture-positive AFB smear- positive subjects among Xpert MTB/RIF negative results = 0.4% (2/539)	Data not analyzed for one AFB smear
	Two Xpert Results	Two AFB Smear Results
< °	<b>Sensitivity = 91.2% (83/91)</b> Specificity = 98.9% (536/542) Prevalence = 14.4% (91/633) NPV = 98.5% (536/544)	Sensitivity = 69.2% (63/91) Specificity = 96.7% (524/542) Prevalence = 14.4% (91/633) NPV = 94.9% (524/552)
	Probability of MTB culture-positive/AFB smear- positive subjects among Xpert MTB/RIF Assay negative results = 0.0% (0/544)	

Table 17. Xpert MTB/RIF Assay Performance vs AFB Smear Microscopy Relative to Collection Time Between
Sputum Specimens (Continued)

Xpert Results	AFB Smear Results
Two Xpert Results with two sputum specimens collected ≥8 hours apart <sup>a</sup>	Two AFB Smear Results with two specimens collected ≥8 hours apart <sup>a</sup>
Sensitivity = 92.5% (49/53) Specificity = 98.9% (342/346) Prevalence = 13.3% (53/399) NPV = 98.9% (342/346)	Sensitivity = 71.7% (38/53) Specificity = 98.0% (339/346) Prevalence = 13.3% (53/399) NPV = 95.8% (339/354)
Probability of MTB culture-positive /AFB smear- positive subjects among Xpert MTB/RIF Assay negative results = 0.0%	6
Two Xpert Results with two specimens collected <8 hours apart <sup>b</sup>	Two AFB Smear Results with two specimens collected <8 hours apart <sup>b</sup>
Sensitivity = 89.5% (34/38) Specificity = 99.0% (194/196) Prevalence = 16.2% (38/234) NPV = 98.0% (194/198) Probability of MTB culture-positive/AFB smear- positive patients among Xpert MTB/RIF Assay negative results = 0.0%	Sensitivity = 65.8% (25/38) Specificity = 99.4% (185/196) Prevalence = 16.2% (38/234) NPV = 93.4% (185/198)
No subjects had three specimens tested by the Xpert MTB/RIF Assay	Three AFB Smear Results Sensitivity = 60.4% (29/48) Specificity = 96.6% (284/294) Prevalence = 14.0% (48/342) NPV = 93.7% (284/303)
	Three AFB Smear Results with three specimens collected ≥8 hours apart <sup>c</sup>
OULA	Sensitivity = 69.2% (9/13) Specificity = 95.7% (112/117) Prevalence = 10.0% (13/130) NPV = 96.6% (112/116)
	Three AFB Smear Results with three specimens collected <8 hours apart <sup>d</sup>
attle	Sensitivity = 57.1% (20/35) Specificity = 97.2% (172/177) Prevalence = 16.5% (35/212)
The dimensional between the collection of the first solution spe	NPV = 92.0% (172/187)

<sup>a</sup> The time frame between the collection of the first sputum specimen and the second sputum specimen is greater than or equal to 8 hours.

<sup>b</sup> The time frame between the collection of the first sputum specimen and the second sputum specimen is less than 8 hours.

<sup>o</sup> The time frame between the collection of the first sputum specimen and the second sputum specimen was greater than or equal to 8 hours, and the time frame between the collection of the second sputum specimen and the third sputum specimen was greater than or equal to 8 hours.

<sup>d</sup> Three AFB smears with less than 8 hours means that at least one of the time intervals between specimen collection was less than 8 hours apart.

Table 13, Table 14, Table 15, Table 16, and Table 17 present data in which results for raw sputum and concentrated sputum sediments are combined. Table 18 is a summary of the NPV exclusively for U.S. subjects delineated by raw sputum and concentrated sputum sediments.

		Raw Sputum (%) [95% Cl]	Concentrated Sputum Sediments (%) [95%CI]
One Yeart Besult	Probability of MTB culture positive among subjects with an Xpert MTB/RIF negative result	3.7% (9/242) [1.7%, 6.9%]	1.3% (4(297) [0.4%, 3.4%]
One Xpert Result	Probability of MTB culture Positive and AFB smear positive among subjects with an Xpert MTB/RIF negative result	0.8% (2/242) [0.1%, 3.0%]	0.0% (0/297) [0.0%, 1.2%]
Two Yport Populto	Probability of MTB culture positive among subjects with Xpert MTB/RIF negative results	2.5% (6/239) [0.9%, 5.4%]	0.7% (2/283) [0.1%, 2.5%]
Two Xpert Results	Probability of MTB culture Positive and AFB smear positive among subjects with Xpert MTB/RIF negative results	0.0% (0/239) [0.0%, 1.5%]	0.0% (0/283) [0.0%, 1.3%]

#### Table 18. Summary of the NPV for Raw Sputum and Concentrated Sputum Sediments in U.S. Subjects<sup>a, b</sup>

<sup>a</sup> Negative Predictive Value (NPV) = (1 – %Probability)

<sup>b</sup> Prevalence of MTB culture positive among U.S. study subjects = 14.3%

For two Xpert Results the table above only includes specimen pairs where both the first and second specimens were the same type. For 239 specimens, both the first and second tests were on raw sputum specimens; for 283 specimens, both the first and second tests were on concentrated sputum sediments; for 2 pairs, the first specimen was raw sputum and second was concentrated sputum

Note sediment (data not included in table above, but specimen pairs showed 100% concordant results); finally, for 20 pairs, the first specimen was a concentrated sputum sediment and second was raw sputum (data not included in table above, but specimen pairs showed 100% concordant results).

### 14.3 Xpert MTB/RIF Assay Performance in an HIV Population

To compare performance of the Xpert MTB/RIF Assay in HIV-infected and HIV-uninfected subjects, data from Study 2 were analyzed by smear status of specimens and HIV status of the population. Table 19 and Table 20 compare the sensitivities and specificities of one Xpert MTB/RIF Assay result in specimens obtained from HIV-infected and HIV-uninfected subjects stratified by AFB smear-positive and AFB smear-negative results, respectively. For both HIV-infected and HIV-uninfected subjects, the sensitivity of the Xpert MTB/RIF Assay for detection of MTB-complex was higher in AFB smear-positive specimens (100.0% and 97.8%, respectively) than in AFB smear-negative specimens (52.1% and 58.3%, respectively). These data are summarized in Table 20.

 
 Table 19. Comparison of Sensitivity and Specificity of One Xpert MTB/RIF Assay Result in HIV-Infected and HIV-Uninfected Subjects—AFB Smear Positive Only

4	Xpert MTB/RIF	Overall	HIV-infected	HIV-uninfected	Difference (95% CI)
. 5	Sensitivity	98.5% (129/131)	100% (39/39)	97.8% (90/92)	2.2% (-0.8%, 5.2%)
$\langle \cdot \rangle$	Specificity	94.4% (17/18)	100% (7/7)	90.9% (10/11)	9.1% (-7.9%, 26.1%)

Xpert MTB/RIF	Overall	HIV-infected	HIV-uninfected	Difference (95% Cl)
Sensitivity	54.8%	52.1%	58.3%	-6.3%
	(46/84)	(25/48)	(21/36)	(-27.7%, 15.2%)
Specificity	98.8%	98.2%	99.2%	-1.0%
	(718/721)	(332/338)	(386/389)	(-2.7%, 0.7%)

# Table 20. Comparison of Sensitivity and Specificity of One Xpert MTB/RIF Assay Result in HIV-Infected and HIV-Uninfected Subjects—AFB Smear Negative Only

### 15. Performance Characteristics—Analytical Performance

#### 15.1 Analytical Reactivity (Inclusivity)

The analytical reactivity of the Xpert MTB/RIF Assay was evaluated against 62 well characterized *Mycobacterium tuberculosis* isolates representing geographic and phenotypic diversity. Table 21 lists 26 strains sensitive to rifampin and 36 strains resistant to rifampin by phenotypic drug susceptibility testing (DST).

Xpert MTB/RIF Assay results of **MTB DETECTED**; **Rif Resistance NOT DETECTED** compared to DST was 87% (67/77) accurate in valid replicate tests using 26 strains sensitive to rifampin which were tested in triplicate. One replicate in 78 tests was not valid and not repeated. Three of the DST susceptible strains (see footnote "c" in Table 21) that tested rifampin resistant by the Xpert MTB/RIF Assay were found to have rifampin resistance mutations according to DNA sequence analysis. One of three replicates of the wild type strain TDR 33 was reported **MTB DETECTED**; **Rif Resistance INDETERMINATE**. Xpert MTB/RIF Assay results of **MTB DETECTED**; **Rif Resistance DETECTED** compared to DST was 100% (107/107) accurate in valid replicate tests using 36 strains resistant to rifampin which were tested in triplicate. One replicate in 108 tests was not valid and not repeated. Results by strain are shown in Table 21.

	Strain ID	Origin	Susceptibility by DST <sup>a</sup>	Susceptibility by Xpert <sup>b</sup>
	TDR 116	S. Korea	R	R
	TDR 21	RD Congo	R	R
	TDR 28 <sup>c</sup>	Bangladesh	R	R
	TDR 191°	Peru	R	R
	TDR 125	Brazil	R	R
	TDR 34	Bangladesh	R	R
	<b>T</b> DR 73	Peru	R	R
	TDR 35	Bangladesh	R	R
	TDR 190 <sup>c</sup>	Spain	R	R
Form	TDR 117	S. Korea	R	R
	TDR 129	Brazil	R	R
	TDR 186 <sup>c</sup>	Morocco	R	R
	TDR 59 <sup>c</sup>	Burundi	R	R
$\langle 0 \rangle$	TDR 185 <sup>c</sup>	Nigeria	R	R
	TDR 6	Bangladesh	R	R
	TDR 19	Azerbaijan	R	R
	TDR 148	Nepal	R	R
	TDR 13 <sup>c</sup>	Bangladesh	R	R
	TDR 12	Bangladesh	R	R
	H37Rv <sup>d</sup>	Lab strain	S	S

### Table 21. Analytical Reactivity (Inclusivity) of the Xpert MTB/RIF Assay

Strain ID	Origin	Susceptibility by DST <sup>a</sup>	Susceptibility by Xpert <sup>b</sup>
TDR 22	RD Congo	S	S
TDR 29	Azerbaijan	S	S
TDR 33	Belgium	S	S
CDC 1551 <sup>c</sup>	USA	S	S
TDR 146 <sup>c</sup>	Nepal	S	S
TDR 78 <sup>c</sup>	S. Korea	S	S
TDR 54 <sup>c</sup>	Bangladesh	S	S S S S S S
TDR 215 <sup>c</sup>	Peru	S	s
TDR 158 <sup>c</sup>	Peru	S	S
TDR 178 <sup>c</sup>	Guinea	S	s
TDR 64 <sup>c</sup>	S. Africa	S	S
97-05193	Peru	R	R
97-05201	Peru	R	R
97-06877	Peru	R	R
97-08341	Peru	R	R
97-12004	Peru	R	R
97-17582	Peru	R	R
97-18875	Peru	R	R
97-20784	Peru	R	R
97-20985	Peru	R	R
99-09120	Peru	R	R
99-R396	Peru	R	R
01-R0612	Beijing	R	R
02-R1141	Beijing	R	R
02-R1794	Beijing	R	R
02-R1840	Beijing	R	R
03-R1517	Beijing	R	R
TDR 0116	S. Korea	R	R
01-R1403 <sup>e</sup>	Peru	S	R
97-15246 <sup>e</sup>	Peru	S	R
98-R839 <sup>e</sup>	Peru	S	R
99-R460	Peru	S	S
99-R485	Peru	S	S
00-06461	US	S	S
00-R0222	Peru	S	S
00-R0454	US	S	S
00-R0460	Peru	S	S
01-10979	US	S	S
01-1118	Peru	S	S
02-02880	US	S	S

 Table 21. Analytical Reactivity (Inclusivity) of the Xpert MTB/RIF Assay (Continued)



Cog

Table 21	Analytical Read	tivity (Inclus	sivity) of the	Xpert MTB/RIF	Assav	(Continued)
	Analytical Read		sivily, or the		-33uy	(Continucu)

Strain ID	Origin	Susceptibility by DST <sup>a</sup>	Susceptibility by Xpert <sup>b</sup>
02-03222	Peru	S	S
02-R0040	Peru	S	S

<sup>a</sup> R=RIF resistant, S=RIF susceptible

<sup>b</sup> R= RIF resistance mutations detected, S=RIF resistance mutations not detected <sup>c</sup> DNA was used; quantified cultured strains were not available.

<sup>d</sup> The reference ATCC strain H37Rv was tested as both cells and DNA.

<sup>e</sup> Isolate rifampin susceptible by DST, but rifampin resistant by DNA sequence and Xpert MTB/RIF Assay.

An additional 5 *Mycobacterium tuberculosis* complex strains, i.e., *M. africanum* (taxid:33894), *M. boris* (taxid:1765), *M. canettii* (taxid:78331), *M. caprae* (taxid:115862), and *M. microti* (taxid:1806), were not wet tested but were evaluated *in* silico to assess the analytical reactivity or inclusivity. The results of the in silico analyses predict a very high likelihood of amplification and detection using the Xpert MTB/RIF Assay. See Table 22.

#### Table 22. Alignments of primers and probes to the rpoB sequences of M. africanum, M. bovis, M. canettii, M. caprae and M. microti

MTB complex	Sequence Alignment (number of identical residues) of Xpert MTB/RIF Assay Primer/ Probe to MTB-complex organisms							
organism	RpoB For1	RpoB For2	RpoB Rev	RpoB Probe A <sup>a</sup>	RpoB Probe B <sup>a</sup>	RpoB Probe C <sup>a</sup>	RpoB Probe D <sup>a</sup>	RpoB Probe E <sup>a</sup>
<i>Mycobacterium africanum</i> (taxid:33894) <sup>b</sup>	24/24	24/24	23/24	17/17	24/24	17/17	18/18	18/18
<i>Mycobacterium bovis</i> (taxid:1765) <sup>b</sup>	24/24	24/24	23/24	17/17	24/24	17/17	18/18	18/18
<i>Mycobacterium canettii</i> (taxid:78331) <sup>b</sup>	24/24	24/24	23/24	17/17	24/24	17/17	18/18	18/18
<i>Mycobacterium caprae</i> (taxid:115862) <sup>b</sup>	24/24	24/24	23/24	17/17	24/24	17/17	18/18	18/18
<i>Mycobacterium microti</i> (taxid:1806) <sup>b</sup>	24/24	24/24	23/24	17/17	24/24	17/17	18/18	18/18

<sup>a</sup> Sequence alignment of probes done with no stem sequences. <sup>b</sup> taxid – unique identifier for an organism in the NCBI taxonomy database.



#### 15.2 Analytical Specificity (Exclusivity)

One hundred and thirty-two (132) different microorganisms, representing common respiratory pathogens potentially encountered in the oral/respiratory tract, were tested at a concentration of at least  $10^8$  CFU/mL (or DNA at  $1x10^7$  copies/mL) for bacteria and fungi; a concentration of  $10^5$  TCID50/mL (or nucleic acid at  $2x10^9$  copies/mL) for viruses; a concentration of  $10^6$  elementary bodies (EB) per mL for Chlamydia; and a concentration of  $10^6$  CFU/mL for two nontuberculous mycobacteria. See Table 23. The analytical specificity of the Xpert MTB/RIF Assay is 100% at a concentration of  $10^8$  CFU/mL (or DNA at  $1x10^7$  copies/ mL) for bacteria and fungi; a concentration of  $10^5$  TCID50/mL (or nucleic acid at  $2x10^9$  copies/mL) for viruses; and a concentration of  $10^6$  elementary bodies (EB) per mL for Chlamydia. The analytical specificity of the Xpert MTB/RIF Assay is 100% at a concentration of  $10^8$  CFU/mL for 21 of 24 nontuberculous mycobacteria (NTM) tested. Cross-reactivity was observed in one of three replicates using *M. scrofulaceum* at  $10^8$  CFU/mL, however, no cross-reactivity was observed at  $10^7$  CFU/mL. Two NTMs (*M. genavense* and *M. smegmatis*) were not tested above  $10^6$  CFU/mL due to poor growth. The analytical specificity of the Xpert MTB/RIF Assay is 100% at a concentration of  $10^6$  CFU/mL for these 2 organisms. Positive and negative controls were included in the study.

Acinetobacter baumannii	Human influenza virus B <sup>a</sup>	Neisseria lactamica
Acinetobacter calcoaceticus	Human Metapneumovirus	Neisseria meningitidis
Actinomyces israelii <sup>b</sup>	Human parainfluenzae Type 1	Neisseria mucosa
Actinomyces odontolyticus	Human parainfluenzae Type 2	Neisseria sicca
Adenovirus	Human parainfluenzae Type 3	Nocardia asteroides <sup>b</sup>
Aspergillus fumigatus <sup>c</sup>	Human respiratory syncytial virus	Nocardia cyriacigeorgica <sup>b</sup>
Bacillus cereus	Human respiratory syncytial virusB <sup>a</sup>	Pasteurella multocida subsp. tigris
Bacillus subtilis subsp. subtilis	Kingella kingae	Pediococcus pentosaceus <sup>b</sup>
Bacteroides fragilis	Klebsiella oxytoca	Peptostreptococcus anaerobius
Bordetella parapertussis <sup>b</sup>	<i>Klebsiella pneumoniae</i> producing KPC-3 carbapenemase	Porphyromonas asaccharolytica
Bordetella pertussis	Klebsiella pneumoniae subsp. pneumoniae	Prevotella melaninogenica
Burkholderia cepacia	Lactobacillus acidophilus	Propionibacterium acnes
Campylobacter jejuni subsp. jejuni <sup>b</sup>	Lactobacillus casei	Proteus mirabilis
Candida albicans	Legionella pneumophila subsp. pneumophila	Proteus vulgaris
Candida glabrata	Leuconostoc mesenteroides subsp. mesenteroides	Providencia stuartii
Candida krusei	Listeria monocytogenes	Pseudomonas aeruginosa
Candida parapsilosis	Moraxella catarrhalis	Rhinovirus Strain 1A
Candida tropicalis	Morganella morganii subsp. morganii	Rhodococcus equi
Chlamydia trachomatis	Mycobacterium abscessus	Salmonella enterica subsp. enterica serovar Dublin
Chlamydophila pneumoniae <sup>b</sup>	Mycobacterium asiaticum	Salmonella enterica subsp. enterica serovar typhimurium

Table 23.	Microorganisms	Tested for Analy	vtical Specificity
	miller oor gamama		y licul opcomolly

Citrobacter freundii	Mycobacterium avium subsp. avium	Serratia marcescens subsp.
		marcescens
Clostridium perfringens	Mycobacterium celatum	Shigella flexneri
Corynebacterium diphtheriae <sup>b</sup>	Mycobacterium chelonae	Shigella sonnei
Corynebacterium jeikeium	Mycobacterium flavescens	Staphylococcus aureus subsp.
		aureus
Corynebacterium	Mycobacterium fortuitum subsp.	Staphylococcus capitis subsp.
pseudodiphtheriticum	fortuitum	capitis
Corynebacterium xerosis	Mycobacterium gastri	Staphylococcus epidermidis
Cryptococcus neoformans	Mycobacterium genavense	Staphylococcus haemolyticus
Cytomegalovirus	Mycobacterium gordonae	Staphylococcus hominis subsp.
		hominis
Eikenella corrodens	Mycobacterium haemophilum	Staphylococcus lugdunensis
Enterobacter aerogenes	Mycobacterium intracellulare	Stenotrophomonas maltophilia
Enterobacter cloacae subsp.	Mycobacterium kansasii	Streptococcus agalactiae
cloacae		
Enterococcus avium	Mycobacterium malmoense	Streptococcus constellatus
	×	subsp. <i>constellatus</i>
Enterococcus faecalis	Mycobacterium marinum	Streptococcus equi subsp. equi
Enterococcus faecium	Mycobacterium scrofulaceum	Streptococcus mitis
Enterovirus Type 71/NY	Mycobacterium simiae	Streptococcus mutans
Escherichia coli	Mycobacterium smegmatis	Streptococcus parasanguinis
Escherichia coli producing CTX-	Mycobacterium szulgai	Streptococcus pneumoniae
M-15 ESBL		
Fusobacterium nucleatum subsp.	Mycobacterium terrae	Streptococcus pyogenes
nucleatum		
Haemophilus influenzae	Mycobacterium thermoresistibile	Streptococcus salivarius subsp.
	/	salivarius
Haemophilus parahaemolyticus	Mycobacterium triviale	Streptococcus sanguinis
Haemophilus parainfluenzae	Mycobacterium vaccae	Streptococcus uberis
Herpes simplex virus Type 1 <sup>a</sup>	Mycobacterium xenopi	Veillonella parvula
Herpes simplex virus Type 2 <sup>a</sup>	Mycoplasma pneumoniae <sup>b</sup>	Weissella paramesenteroides
Human influenza virus A <sup>a</sup>	Neisseria gonorrhoeae	Yersinia enterocolitica subsp. enterocolitica

Table 23. Microorganisms Tested for Analytical Specificity (Continued)

<sup>a</sup> Genomic DNA or RNA used; concentrations tested ranged from 3.1 x 10<sup>9</sup> to 1.2 x 10<sup>11</sup> copies/mL. <sup>b</sup> Genomic DNA used; concentrations tested ranged from 1x10<sup>7</sup> to 1x10<sup>10</sup> copies/mL. <sup>d</sup> Genomic DNA used; concentration tested at 3.2 x 10<sup>8</sup> copies/mL.

Potential cross-reactivity of 25 microorganisms that could not be wet tested using whole organisms or nucleic acid was evaluated by *in silico* analysis. Twenty of the 25 microorganisms tested revealed no potential for cross-reactivity. See Table 24, Table 25, and Table 26.

Five isolates demonstrated a slight potential for cross reactivity which may result in false positive results with the Xpert MTB/RIF Assay. See Table 27.

	(RpoB For 1 + RpoB Rev Probe)					
Organism	Accession	Max Score	Query Cov	E Value	Identity	
<i>Kingella oralis</i> Taxid:505	GU561427.1	16.4	69%	20	100%	
Legionella micdadei Taxid:451	NR_041791.1	18.3	34%	3.6	100%	
Norcardia brasiliensis Taxid:37326	JN215639.1	40.1	73%	0.000003	100%	
<i>Streptomyces</i> <i>anulatus</i> Taxid:1892	AB431435.1	28.2	61%	0.026	100%	
Histoplasma capsulatum Taxid:339724	XM_001536322.1	28.2	28%	1.5	100%	
Blastomyces dermatitidis (Ajellomyces dermatitidis) Taxid:559298	XM_002624017.1	282	48%	1.5	100%	
<i>Penicillium</i> spp. Taxid:500485	XM_002566094.1	30.2	38%	2	95%	
<i>Rhizopus</i> spp. Taxid:4847	AY847625.1	22.3	28%	8.1	93%	
<i>Scedosporium</i> spp. Taxid:563467	HQ231813.1	18.3	57%	23	100%	
Rubella virus Taxid:111041	AB588191.1	24.3	97%	2.4	100%	
Rubeola virus Taxid:884098	JN635408.1	22.3	22%	36	100%	
Rubula virus Taxid:11161	EU606317.1	22.3	22%	13	100%	
Varicella Zoster Virus Taxid:10335	JQ972914.1	22.3	89%	45	100%	
<i>Mycobacterium franklinii</i> Taxid:948102	HQ662080.1	22.3	83%	0.36	100%	
M. massiliense, M. bolletii, M. abscessus subsp. bolletii Taxid:319705	NC_018150.2	32.2	100%	0.21	95%	

# Table 24. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis(RpoB For 1 + RpoB Rev Probe)

Organism	Accession	Max Score	Query Cov	E Value	Identity
<i>Mycobacterium chimaera</i> Taxid:222805	AY943187.1	26.3	83%	0.016	90%
Mycobacterium avium subsp. paratuberculosis Taxid:1770	AF057479.1	36.2	85%	0.005	100%
Mycobacterium avium subsp. silvaticum Taxid:44282	AY544889.1	28.2	85%	0.004	94%
Mycobacterium avium subsp. hominissuis Taxid:439334	AP012555.1	30.2	100%	0.15	100%
<i>Mycobacterium immunogenum</i> Taxid:83262	HM454251.1	32.2	48%	5E-04	95%

## Table 24. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis(RpoB For 1 + RpoB Rev Probe)(Continued)

Table 25. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis(RpoB For2 + RpoB Rev Probe)

Organism	Accession	Max Score	Query Cov	E Value	Identity			
<i>Kingella oralis</i> Taxid:505	GU561427.1	14.4	57%	79	100%			
<i>Legionella micdadei</i> Taxid:451	X57520.1	18.3	55%	3.6	100%			
Norcardia brasiliensis Taxid:37326	DQ085110.1	38.2	46%	0.00001	100%			
<i>Streptomyces</i> <i>anulatus</i> Taxid:1892	AB431435.1	28.2	71%	0.026	100%			
Histoplasma capsulatum Taxid:339724	XM_001536322.1	28.2	28%	1.5	100%			
Blastomyces dermatitidis (Ajellomyces dermatitidis) Taxid:559298	XM_002625196.1	30.2	30%	0.38	100%			
Penicillium spp. Taxid:500485	XM_002565312.1	30.2	44%	2	91%			
<i>Rhizopus</i> spp. Taxid:4847	HM130700.1	20.3	73%	32	100%			
<i>Scedosporium</i> spp. Taxid:563467	AY625497.1	20.3	44%	5.7	100%			
Rubella virus Taxid:111041	AB588191.1	24.3	81%	2.4	100%			

Organism	Accession	Max Score	Query Cov	E Value	Identity
Rubeola virus Taxid:884098	JN635410.1	24.3	38%	9.1	100%
Rubula virus Taxid:11161	BK005918.1	24.3	85%	3.2	100%
Varicella Zoster Virus Taxid:10335	JQ972914.1	20.3	91%	177	100%
<i>Mycobacterium franklinii</i> Taxid:948102	HQ662038.1	22.3	83%	0.36	100%
M. massiliense, M. bolletii, M. abscessus subsp. bolletii Taxid:319705	NC_018150.2	32.2	100%	0.21	100%
<i>Mycobacterium chimaera</i> Taxid:222805	AY943187.1	40.1	91%	1E-06	96%
Mycobacterium avium subsp. paratuberculosis Taxid:1770	AF057479.1	36.20	59%	0.005	100%
Mycobacterium avium subsp. silvaticum Taxid:44282	AY544889.1	28.2	79%	0.004	94%
Mycobacterium avium subsp. hominissuis Taxid:439334	AP0125531	32.2	100%	0.38	100%
Mycobacterium immunogenum Taxid:83262	HQ662101.1	24.3	36%	0.13	100%

## Table 25. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis(RpoB For2 + RpoB Rev Probe) (Continued)

 Table 26. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis (All RpoB Probes with no stem sequences)

	Organism	Accession	Max Score	Query Cov	E value	Identity
¢0	<i>Kingella oralis</i> Taxid:505	0	0	09	%	0
	Legionella micdadei Taxid:451	AF367743.1	33.7	72%	0.0001	72%
	Norcardia brasiliensis Taxid:37326	DQ085110.1	77	100%	4E-17	81%
	<i>Streptomyces anulatus</i> Taxid:1892	U64692.1	26.5	27%	0.14	86%

	Organism	Accession	Max Score	Query Cov	E value	Identity
0	Histoplasma capsulatum Taxid:339724	XM_001538897.1	30.1	27%	0.63	91%
( (	Blastomyces dermatitidis (Ajellomyces dermatitidis) Taxid:559298	XM_002623914.1	28.3	18%	2.3	00%
	Penicillium spp. Taxid:500485	XM_002557696.1	30.1	20%	3.5	100%
	R <i>hizopus</i> spp. Taxid:4847	AY147870.1	22.9	15%	8.8	0
	Scedosporium spp. Taxid:563467	0	0	0	%	0
	Rubella virus Taxid:111041	0	0	0	%	0
	Rubeola virus Taxid:884098	0	0	00	%	0
	Rubula virus Taxid:11161	0	20	09	%	0
١	Varicella Zoster Virus Taxid:10335	0	0	09	%	0
f	Mycobacterium franklinii Taxid:948102	HQ662092.1	24.3	88%	0.16	100%
     5	M. massiliense, M. bolletii, M. abscessus subsp. bolletii Taxid:319705	00987717.1	75.8	75%	3E-14	92%
0	Mycobacterium chimaera Taxid:222805	AY943187.1	91.7	100%	6E-22	90%
s A	Mycobacterium avium subsp. paratuberculosis Taxid:1770	CP005928.1	83.8	100%	4E-17	89%
	Mycobacterium avium subsp. silvaticum Taxid:44282	AY544889.1	107	100%	8E-27	90%
5	Mycobacterium avium subsp. hominissuis Taxid:439334	AP012555.1	107	100%	1E-24	90%
i	Mycobacterium immunogenum Taxid:83262	HM454251.1	95.1	97%	1E-22	87%

## Table 26. Microorganisms Predicted to be Non-cross Reactive by in silico Analysis(All RpoB Probes with no stem sequences) (Continued)

Mycobacterium kumamontonense	
Mycobacterium leprae	
Mycobacterium mucogenicum	
Tsukamurella spp.	
Nocardia otitidiscaviarum	

#### Table 27. Microorganisms Predicted to be Potentially Cross Reactive by in silico Analysis

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#### 15.3 Analytical Sensitivity (Limit of Detection)

Studies were performed to determine the limit of detection (LoD) of human isolates of *Mycobacterium tuberculosis* and *Mycobacterium bovis* BCG (Bacille Calmette-Guerin) diluted in human sputum and human sputum sediment. The LoD is the lowest concentration reported in CFU/mL that can be reproducibly distinguished from negative samples with 95% confidence. Replicates of 20 were evaluated at five to eight concentrations and limit of detection was determined using probit analysis with the exception of testing performed with *M. tuberculosis* mutant rifampin- resistant strain TDR125 cells in sputum sediment which was performed at one concentration only, in replicates of 40. See Table 28.

Microorganism (Strain)	Specimen Type	LoD Estimate	Claimed LoD
M. bovis (BCG)	Sputum	486	525
	Sputum Sediment	703	700
<i>M. tuberculosis</i> (H37Rv)	Sputum	414	600
	Sputum Sediment	2,046	3,000
M. tuberculosis	Sputum	872	1,000
(TDR125)	Sputum Sediment	ND <sup>a</sup>	4,000

Table 28.	Probit Analysis Data and Claimed LoD in CFU/mL	
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<sup>a</sup> Not determined (ND) by probit analysis.

#### 15.4 Interfering Substances Study

Performance of the Xpert MTB/RIF Assay was evaluated in the presence of 32 potentially interfering substances. Potentially endogenous interfering substances may include, but are not limited, to blood, pus (white blood cells), cells from the respiratory tract, mucin, human DNA, and gastuc acid from the stomach. Other potentially interfering substances may include anesthetics, antibiotics, antibacterial, anti-toberculosis drugs, anti-viral drugs, bronchodilators, inhaled bronchodilators, live intranasal influenza virus vaccine, germierdal mouthwash, specimen processing reagents, *Pneumocystis jiroveci* medication, homeopathic allergy relief medications, nasal corticosteroids, nasal gels, nasal sprays, oral anesthetics, oral expectorants, neutralizing buffers, and tobacco. These substances are listed in Table 29 with active ingredients and concentrations tested shown. Positive and negative samples were included in this study. Positive samples were tested near the analytical limit of detection using one inactivated rifampin-resistant strain TDR6 (probe E mutant). Both strains were tested in replicates of 8. Negative samples, comprised of the substance absent the MTB strain, were tested per substance in replicates of 8 to determine the effect on the performance of the sample processing control (SPC). Inhibition of the Xpert MTB/RIF Assay was observed in the presence of Lidocaine at 30%; mucin at 5% and 2.5%; Ethambutol at 50  $\mu$ g/mL, 25  $\mu$ g/mL, and 10  $\mu$ g/mL; Guaifenesin at 5 mg/mL; Phenylephrine at 100% and 50%; and tea tree oil at 0.5% to 0.015% resulting in a false negative result **MTB NOT DETECTED** or a **Rif Resistance INDETERMINATE** result.

Substance	Description/Active Ingredient	Concentration Tested
Blood (human)		5% (v/v)
Germicidal Mouthwash	Chlorhexidine gluconate (0.12%), 20% solution	20% (v/v)
Specimen Processing Reagents	Cetylpyridinium chloride, 1% in 2% NaCl	0.5% (v/v) in 1% NaCl
Specimen Processing Reagents	Cetylpyridinium chloride, 1% in 2% NALC	0.5% (v/v) in 1% NALC
Specimen Processing Reagents	Cetylpyridinium chloride, 1% in 2% NALC plus 25 mM Citrate	0.5% (v/v) in 1% NALC plus 12.5 mM Citrate
Gastric Acid	pH 3 to 4 solution in water, neutralized with sodium bicarbonate	100% (v/v)
Human DNA/Cells	HELA 229	10 <sup>6</sup> cells/mL
Antimycotic; Antibiotic	Nystatin oral suspension, 20%	20% (Wv)
White Blood Cells (human)	WBC/Pus matrix (30% buffy coat; 30% plasma; 40% PBS)	100% (v/v)
Anesthetics (endotracheal intubation)	Lidocaine HCl 4%	20% to 30% (v/v)
Nebulizing solutions	NaCl 5% (w/v)	5% (w/v)
Mucin	Mucin 5% (w/v)	1.5% to 5% (w/v)
Antibacterial, systemic	Levofloxacin 25 mg/mL	5 mg/mL
Nasal corticosteroids	Fluticasone 500 mcg/spray	5 μg/mL
Inhaled bronchodilators	Albuterol Sulfate 2.5 mg/3mL	50 μg/mL; 100 μg/mL
Oral anesthetics	Orajel (20% Benzocaine)	5% (w/v)
Anti-viral drugs	Acyclovir, IV 50 mg/mL	50 μg/mL
Antibiotic, nasal ointment	Neosporin (400U Bacitracin, 3.5 mg Neomycin, 5000U Polymyxin B)	5% (w/v)
Tobacco	Nicogel (40% tobacco extract)	0.5% (w/v)
Anti-tuberculosis drugs	Streptomycin 1mg/mL	25 μg/mL
Anti-tuberculosis drugs	Ethambutol 1 mg/mL	5 μg/mL to 50 μg/mL
Anti-tuberculosis drugs	Isoniazid 1 mg/mL	50 μg/mL
Oral expectorants	Guaifenesin (400mg/tablet)	2.5 mg/mL; 5 mg/mL
Anti-tuberculosis drugs	Pyrazinamide 10 mg/mL	100 µg/mL
Nasal gel (Homeopathic)	Zicam gel	50% (w/v)
Nasal spray	Phenylephrine 0.5%	25% to 100% (v/v)
Anti-tuberculosis drugs	Rifampicin 1mg/mL	25 μg/mL
Allergy relief medicine (Homeopathic)	Tea tree oil (<5% Cineole, >35% Terpinen- 4-01)	0.008% to 0.5% (v/v)
Live intranasal influenza virus vaccine	Live influenza virus vaccine	5% (v/v)
Pneumocystis jiroveci medication	Pentamidine	300 ng/mL
Bronchodilator	Epinephrine (injectable formulation)	1mg/mL
Neutralizing buffer	XPR- <i>plus</i> ™ Neutralizing Buffer	>67mM phosphate

Table 29.	Potentially	Interfering	Substances in	Xpert	MTB/RIF	Assay
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#### 15.5 Carry-Over Contamination Study

A study was conducted to demonstrate that potential carry-over and cross-contamination does not occur when using the singleuse, self-contained Xpert MTB/RIF Assay cartridges. The study consisted of a negative sample processed in the same GeneXpert module immediately following a very high positive sample containing *M. bovis* BCG at a concentration of approximately 1x10<sup>6</sup> CFU/mL spiked into TET buffer. This testing scheme was repeated 20 times on two GeneXpert modules for a total of 42 runs resulting in 20 positive and 22 negative specimens. All 20 positive samples were correctly reported as **MTB DETECTED; Rif Resistance NOT DETECTED** and all 22 negative samples were correctly reported as **MTB NOT DETECTED**.

#### 15.6 RIF Resistance Study

Due to the low prevalence of RIF resistance, an additional non-clinical study was conducted to assess the performance of the Xpert MTB/RIF Assay for the detection of genetic mutations associated with RIF resistance in well characterized RIF susceptible and resistant clinical isolates spiked into a pool of known MTB negative sputum. Fifty aliquots of pooled MTB-negative human sputum negatives were randomly intermixed for testing among the positives. The sensitivity and specificity of the Xpert MTB/RIF Assay for detection of mutations associated with RIF resistance, relative to drug susceptibility testing using Middlebrook agar proportions methods, were 97.7% (85/87) with 95% CI: 92.0%-99.4%; and 90.8% (89/98) with 95% CI: 83.5%-95.1%, respectively.

Of the 11 specimens with RIF discordant results, bi-directional sequencing was concordant with the Xpert MTB/RIF Assay for 10 of the 11 specimens and discordant with 1 of the 11 specimens.

#### 16. Reproducibility

Reproducibility of the Xpert MTB/RIF Assay was evaluated at three sites using specimens comprised of cultured strains of *M. tuberculosis* spiked into a pool of MTB-negative human sputum. The specimens were prepared at concentration levels representing low positive ( $\sim$ 1X LoD) and moderate positive (2-3X LoD) for both RIF susceptible and RIF resistant strains. Negative panel members were also included, and were comprised of pooled MTB-negative human sputum. A panel of five specimens was tested on five different days by two different operators three times per day at three sites (30 tests at each site =2 operators x 5 days x 3 replicates per day). One reagent kit lot of the Xpert MTB/RIF Assay was used in the study. The percent agreement for each panel member is presented by site in Table 30.

Sample	Site 1 (Infinity-80)	Site 2 (GeneXpert Dx)	Site 3 (Infinity-48)	% Total Agreement by Sample
MTB/RIF resistant	100.0%	100.0%	100.0%	100.0%
2-3X LoD	(30/30)	(30/30)	(30/30)	(90/90)
MTB/RIF resistant	93.3%	96.7%	96.7%	95.6%
1X LoD	(28/30)	(29/30)	(29/30)	(86/90)
MTB/RIF sensitive	100.0%	100.0%	100.0%	100.0%
2-3X LoD	(30/30)	(30/30)	(30/30)	(90/90)
MTB/RIF sensitive	96.7%	100.0%	100.0%	98.9%
1X LoD	(29/30)	(30/30)	(30/30)	(89/90)
Negative	100.0%	100.0%	100.0%	100.0%
	(30/30)	(29/29)	(30/30)	(89/89) <sup>a</sup>

Table 30.	Summary o	f Reproducibility	Results –	Agreement by	y Study	Site/Instrument
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<sup>a</sup> One sample was non-determinate after the initial test and upon retest.

The reproducibility of the Xpert MTB/RIF Assay was also evaluated in terms of the fluorescence signal expressed in cycle threshold (Ct) values for each target detected. The mean, standard deviation (SD), and coefficient of variation (CV) betweensite, between- day, between-operator and within-run components for each panel member are presented in Table 31. A run is defined as the three samples per panel member tested by one operator at one site on one day.

Drobe	Target		Agreement /Total		Between-Site		Between-Day		Between- Operator		Within-Run		Total	
Probe	MTB/ RIF	Conc (LoD)	Number (%)	Mean Ct	SD	CV (%)	SD	CV (%)	SD	CV (%)	SD	CV (%)	SD	CV (%)
A	POS/R	2-3X	90/90 (100)	26.23	0.19	0.7	0.09	0.4	0.00	0.0	1.36	5.2	1.37	5.2
	POS/R	1X	86/90 (95.6)	27.13	0.00	0.0	0.78	2.9	0.00	0.0	1.74	6.4	1.91	7.0
	POS/S	2-3X	90/90 (100)	25.89	0.00	0.0	0.38	1.5	0.00	0.0	1.43	5.5	1.48	5.7
	POS/S	1X	89/90 (89.9)	27.25	0.00	0.0	0.00	0.0	0.36	1.3	1.28	4.7	1.33	4.9
	NEG	NEG	89/89 (100)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	POS/R	2-3X	90/90 (100)	38.41	0.26	0.7	0.72	1.9	0.00	0.0	1.59	4.1	1.76	4.6
	POS/R	1X	86/90 (95.6)	38.47	0.00	0.0	0.82	2.1	0.16	0.4	1.68	4.4	1.88	4.9
В	POS/S	2-3X	90/90 (100)	27.01	0.00	0.0	0.33	1.2	0.00	0.0	1.42	5.3	1.46	5.4
	POS/S	1X	89/90 (89.9)	28.21	0.03	0.1	0.00	0.0	0.34	1.2	1.25	4.4	1.29	4.6
	NEG	NEG	89/89 (100)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
с	POS/R	2-3X	90/90 (100)	26.52	0.21	0.8	0.00	0.0	0.00	0.0	1.30	4.9	1.31	4.9
	POS/R	1X	86/90 (95.6)	27.37	0.00	0.0	0.79	2.9	0.00	0.0	1.66	6.1	1.84	6.7
	POS/S	2-3X	90/90 (100)	26.13	0.00	0.0	0.36	1.4	0.00	0.0	1.44	5.5	1.49	5.7
	POS/S	1X	89/90 (89.9)	27.44	0.00	0.0	0.00	0.0	0.35	1.3	1.25	4.6	1.30	4.7
	NEG	NEG	89/89 (100)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	POS/R	2-3X	90/90 (100)	27,65	0.18	0.6	0.00	0.0	0.00	0.0	1.33	4.8	1.35	4.9
	POS/R	1X	86/90 (95.6)	28.51	0.00	0.0	0.81	2.9	0.00	0.0	1.63	5.7	1.82	6.4
D	POS/S	2-3X	90/90 (100)	27.35	0.00	0.0	0.36	1.3	0.00	0.0	1.36	5.0	1.41	5.2
	POS/S	1X	89/90 (89.9)	28.62	0.00	0.0	0.00	0.0	0.26	0.9	1.16	4.1	1.19	4.2
	NEG	NEG	89/89 (100)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
4	POS/R	2-3X	90/90 (100)	27.77	0.27	1.0	0.13	0.5	0.00	0.0	1.50	5.4	1.53	5.5
Ó	POS/R	1X	86/90 (95.6)	28.72	0.22	0.8	0.79	2.8	0.00	0.0	1.84	6.4	2.01	7.0
Ų)	POS/S	2-3X	90/90 (100)	27.47	0.23	0.8	0.34	1.2	0.00	0.0	1.52	5.5	1.57	5.7
	POS/S	1X	89/90 (89.9)	28.86	0.00	0.0	0.00	0.0	0.51	1.8	1.37	4.8	1.46	5.1
	NEG	NEG	89/89 (100)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Table 31. Summary of Reproducibility Data<sup>a</sup>

<sup>a</sup> Conc=concentration, Ct=cycle threshold, CV=coefficient of variation, LoD=limit of detection, N=number, N/A=Not Applicable for negative samples, SD=standard deviation; R=RIF resistant; S=RIF susceptible

#### 17. Instrument System Precision

An in-house precision study was conducted to compare the performance of the GeneXpert Dx and the Infinity-80 Instrument Systems using specimens comprised of cultured strains of *M. tuberculosis* spiked into a pool of MTB-negative human sputum. The specimens were prepared at concentration levels representing low positive (~1X LoD) and moderate positive (2-3X LoD) for both RIF susceptible and RIF resistant strains. Negative panel members were also included and were comprised of MTB-negative human sputum. A panel of five specimens was tested on 12 different days by two operators. Each operator conducted four runs of each panel specimen per day on each of the two instrument systems (96 tests at each instrument =4 tests x12 days x 2 operators). One reagent kit lot of the Xpert MTB/RIF Assay was used for the study. The percent agreement for C each panel member is presented by instrument in Table 32.

Sample	GeneXpert Dx	Infinity-80	% Total Agreement by Sample
MTB/RIF resistant	100.0%	99.0%	99.5%
2-3X LoD	(94/94)	(95/96)	(189/190) <sup>a,b</sup>
MTB/RIF resistant	97.9%	99.0%	98.4%
1X LoD	(94/96)	(95/96)	(189/192) <sup>c</sup>
MTB/RIF sensitive	100.0%	100.0%	100.0%
2-3X LoD	(96/96)	(96/96)	(192/192)
MTB/RIF sensitive	91.6%	86.5%	89.0%
1X LoD	(87/95)	(83/96)	(170/191) <sup>a,d</sup>
Negative	99.0%	97.9%	98.4%
	(95/96)	(94/96)	(189/192) <sup>e</sup>

Table 32.	Summary of	f Instrument	Precision	Results;	Percent Agreement
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<sup>a</sup> 2 MTB/RIF resistant moderate pos and 1 MTB/RIF sensitive low pos specimens were non-

determinate by Xpert MTB/RIF Assay upon initial and retest.

<sup>b</sup> 1 specimen MTB NOT DETECTED.

<sup>c</sup> 2 specimens MTB NOT DETECTED and 4 specimen MTB DETECTED; Rif Resistance INDETERMINATE.

<sup>d</sup> 17 specimens MTB NOT DETECTED and 4 specimens MTB DETECTED; Rif Resistance

INDETERMINATE.

<sup>e</sup> 3 specimens MTB DETECTED; Rif Resistance NOT DETECTED.

The precision of the Xpert MTB/RIF Assay was also evaluated in terms of the fluorescence signal expressed in Ct values for each target detected. The mean, standard deviation (SD), and coefficient of variation (CV) between-instrument, between-day, between-operator, and within-run components for each panel member are presented in Table 33. A run is defined as the four samples per panel member tested by one operator on one instrument on one day.

Form

Ducks	Target		Agreement/ Total		Between- Instrument		Between- Day		Between- Operators		Within-Run		Total	
Probe	MTB/ RIF	Conc (LoD)	Number (%)	Mean Ct	SD	CV (%)	SD	CV (%)	SD	CV (%)	SD	CV (%)	SD	CV (%)
	POS/R	2-3X	189/190 (99.5)	25.52	0.00	0.0	0.62	2.4	0.00	0.0	1.23	4.8	1.37	5.4
	POS/R	1X	189/192 (98.4)	27.03	0.00	0.0	0.00	0.0	0.59	2.2	1.36	5.0	1.48	5.5
А	POS/S	2-3X	192/192 (100)	25.43	0.23	0.9	0.41	1.6	0.00	0.0	1.28	5.0	1.36	5.4
	POS/S	1X	170/191 (89.0)	27.44	0.00	0.0	1.24	4.5	1.16	4.2	1.79	6.5	2.46	9.0
	NEG	NEG	189/192 (98.4)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	POS/R	2-3X	189/190 (99.5)	37.45	0.00	0.0	0.00	0.0	0.00	0.0	2.00	5.3	2.00	5.3
	POS/R	1X	189/192 (98.4)	38.06	0.00	0.0	0.00	0.0	0.66	1.7	1.76	4.6	1.88	4.9
В	POS/S	2-3X	192/192 (100)	26.44	0.30	1.1	0.25	0.9	0.00	0.0	1.31	5.0	1.37	5.2
	POS/S	1X	170/191 (89.0)	28.16	0.00	0.0	0.96	3.4	1.01	3.6	1.79	6.4	2.26	8.0
	NEG	NEG	189/192 (98.4)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
с	POS/R	2-3X	189/190 (99.5)	25.77	0.00	0.0	0.62	2.4	0.00	0.0	1.19	4.6	1.34	5.2
	POS/R	1X	189/192 (98.4)	27.27	0.00	0.0	0.00	0.0	0.54	2.0	1.32	4.8	1.42	5.2
	POS/S	2-3X	192/192 (100)	25.63	0.23	0.9	0.36	1.4	0.00	0.0	1.29	5.0	1.36	5.3
	POS/S	1X	170/191 (89.0)	27.62	0.00	0.0	1.01	3.6	1.09	4.0	2.06	7.4	2.54	9.2
	NEG	NEG	189/192 (98.4)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	POS/R	2-3X	189/190 (99.5)	27.00	0.00	0.0	0.57	2.1	0.00	0.0	1.17	4.3	1.30	4.8
	POS/R	1X	189/192 (98.4)	28.44	0.10	0.3	0.00	0.0	0.53	1.9	1.31	4.6	1.42	5.0
D	POS/S	2-3X	192/192 (100)	26.98	0.24	0.9	0.34	1.3	0.00	0.0	1.26	4.7	1.33	4.9
	POS/S	1X	170/191 (89.0)	28.79	0.00	0.0	1.22	4.2	1.11	3.8	1.64	5.7	2.33	8.1
	NEG	NEG	189/192 (98.4)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
5	POS/R	2-3X	189/190 (99.5)	28.84	0.00	0.0	0.61	2.3	0.00	0.0	1.20	4.5	1.35	5.0
	POS/R	1X	189/192 (98.4)	28.44	0.00	0.0	0.00	0.0	0.63	2.2	1.38	4.8	1.52	5.3
	POS/S	2-3X	192/192 (100)	26.89	0.18	0.7	0.42	1.6	0.00	0.0	1.31	4.9	1.39	5.2
	POS/S	1X	170/191 (89.0)	28.86	0.00	0.0	1.41	4.9	0.81	2.8	1.74	6.0	2.38	8.2
	NEG	NEG	189/192 (98.4)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Table 33. Summary of Instrument Precision Data<sup>a</sup>

<sup>a</sup> Conc=concentration, Ct=cycle threshold, CV=coefficient of variation, LoD=limit of detection, N=number, N/A=Not Applicable for negative samples, SD=standard deviation; R=RIF resistant; S=RIF susceptible

#### 18. References

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#### 19. Cepheid Headquarters Locations

#### **Corporate Headquarters**

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#### European Headquarters

Cepheid Europe SAS Vira Solelh 81470 Maurens-Scopont France Telephone: + 33 563 825 300 Fax: + 33 563 825 301 www.cepheidinternational.com ng information:

#### 20. Technical Assistance

Before contacting Cepheid Technical Support, collect the following information:

- Product name
- Lot number
- Serial number of the instrument
- Error messages (if any)
- Software version and, if applicable, Computer Service Tag number

#### **Contact Information**

United States

- Telephone: + 1 888 838 3222
- Email: techsupport@cepheid.com

Telephone: + 33 563 825 319

France

Email: support@cepheideurope.com

Contact information for all Cepheid Technical Support offices is available on our website: www.cepheid.com/en/CustomerSupport.

### 21. Table of Symbols

Symbol	Meaning	
REF	Catalog number	
IVD	In vitro diagnostic medical device	a d
2	Do not reuse	
LOT	Batch code	
i	Consult instructions for use	0
	Caution	
	Manufacturer	, (O`
<u>66</u>	Country of manufacture	
$\mathbf{\nabla}$	Contains sufficient for <n> tests</n>	controlled copy
CONTROL	Control	
Σ	Expiration date	X O
	Temperature limitation	$\mathcal{T}$
	Biological risks	
	Flammable Liquid and Vapor	
	Causes severe skin burns and eye damage	
	O'	
Caphaid		

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